2018 New Faculty Orientation:

Research Administration and Integrity at UVM

Presented by

Brian Prindle

Executive Director, Research Administration and Integrity



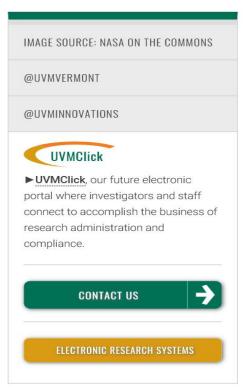
Research Administration and Integrity



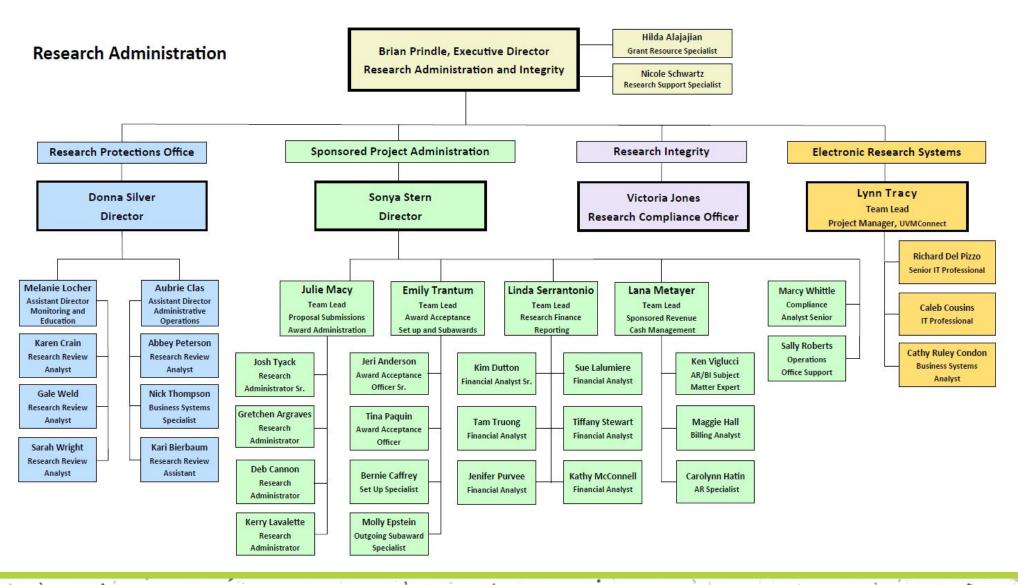
Brian Prindle, Executive Director | Research Administration Organizational Chart (PDF)

The business of conducting research and scholarship can be complicated. Research Administration is here to help facilitate those complications. We will simplify, assist and get it done leaving our faculty, staff, and students to the business of discovery, innovation, and impact.

RESEARCH PROTECTIONS OFFICE - Humans - Proposals and Awards - FCOI - Animals - Subawards - Export Controls - Biosafety - Closeouts - RCR Training - RPO News - RI News



Research Administration and Integrity



Research Administration and Integrity



Our future electronic research administration and compliance system where Investigators and staff will manage the lifecycle of

IRB Protocols
IACUC Protocols
IBC Protocols
Conflict of Interest Disclosures
Proposal Submission
Award Management
Agreements

PeopleSoft continues as the financial system - where you spend the grants funds

Welcome and Good Luck

Thank You

- Find Funding
- Apply
- Win
- Do Research
- Be Great Stewards



2018 New Faculty
Orientation:
Sponsored Project
Administration at UVM

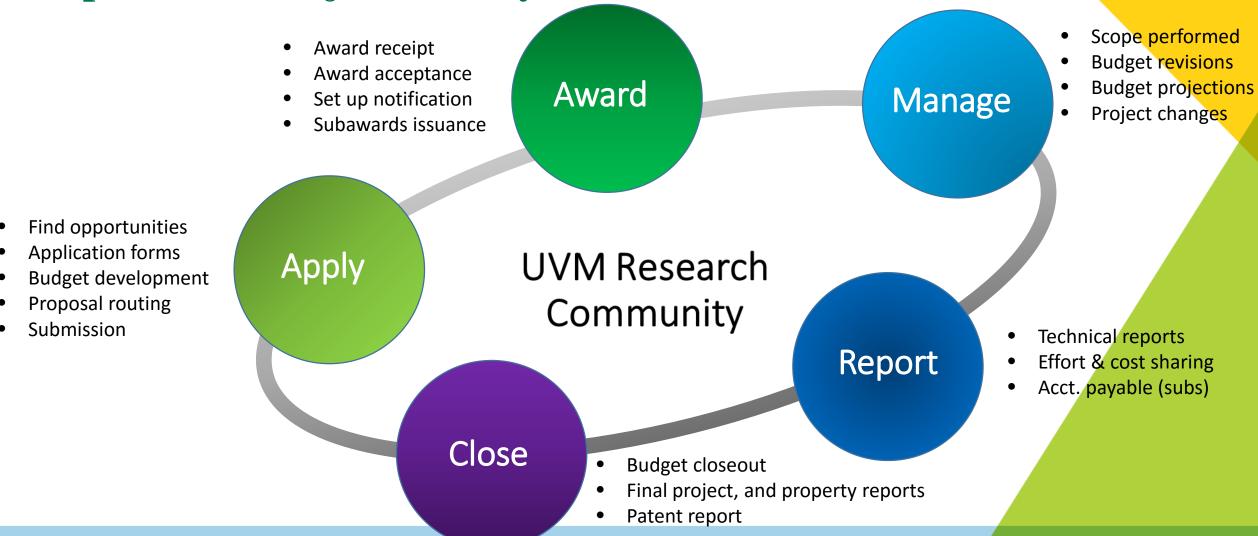
Presented by

Sonya Stern

Director, Sponsored Project Administration



Sponsored Project Life Cycle



UVM Research Community

- 300 Active Principal Investigators
- 100 Departments with Sponsored
 Projects from 300 Sponsors
- \$130M annual volume of awards

Individual Roles and Common Goals for Proposal Submissions

- Central Office: facilitates the submission of proposals and the review and negotiation of sponsored research agreements and houses the signatory officials for sponsored projects at the university
- **Department Administrators**: serve the faculty on a more individual and personal level and act as a liaison between the faculty and the central office
- Common Goal: to provide a full range of assistance to faculty in obtaining and administering funding to support creative and scholarly activities

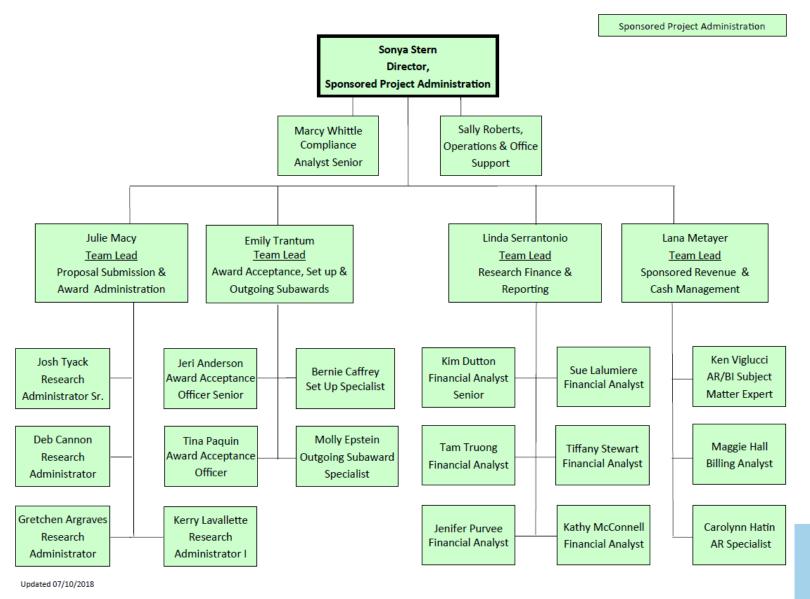
Sponsored Project Administration



Welcome to
Sponsored Project
Administration

Providing administrative and fiscal support and cash management oversight for the University's proposing, accepting and managing sponsored projects, and ensuring compliance with associated financial and public policy requirements.

Sponsored Project Administration Org Chart



SPA EDU 18/19 School Year

Session Name	PS Course	Lead Facilitators	Scheduled Dates
SPA Seminar: Introduction to Sponsored Project Administration	POL012	Sonya Stern, Julie Macy, Emily Trantum, Linda Serrantonio and Lana Metayer	09/19/18 and 01/10/19
SPA Seminar: Essential Regulatory Compliance in Sponsored Project	POL018	Marcy Whittle and Sonya Stern	09/27/18
SPA Seminar: PeopleSoft Tools and Best Practices for Financial Management of Sponsored Projects	CPT075	Linda Serrantonio and Lana Metayer	10/10/2018 and 04/17/2019
SPA Seminar: Preparation, Review and Submission of Sponsored Project Proposals	POL019	Julie Macy	10/23/2018
SPA Seminar: Award Acceptance for Sponsored Agreements. Establishment of Advance Accounts.	POL020	Emily Trantum	11/13/2018
SPA Seminar: Outgoing Subawards	POL010	Molly Epstein and Linda Serrantonio	12/11/2018
SPA Seminar: Non-Payroll Cost Transfers on Sponsored Projects	POL021	Linda Serrantonio	02/13/2019
SPA Seminar: Cost Sharing on Sponsored Projects	POL022	Julie Macy and Linda Serrantonio	03/19/2019
SPA Seminar: Carrying Forward of Funds From One Year to Another and No Cost Extensions on Sponsored Projects	POL023	Linda Serrantonio and Julie Macy	04/10/2019
SPA Seminar: Sponsored Project Financial Reporting and Closeout	POL024	Linda Serrantonio	05/09/2019
Overview of IRB, IACUC and IBC	TBD	Melanie Locher	TBD
Overview of FCOI and Export Control	TBD	Victoria Jones	TBD

Grant Proposal Development Services



Jeralyn Haraldsen, PhD

Grant Proposal Manager

Office of the VP for Research



Grant Proposal Development Services

- Grant proposal review and grantsmanship critique
 - Annotated feedback and suggestions
 - Review for responsiveness to RFA, and/or reviewer critiques
- Grant proposal writing assistance and editing
 - Substantive editing of draft text
 - Editing to improve clarity and flow, formatting and presentation
 - Assist with drafting non-technical proposal components
- Project Management for proposal preparation

How to Request Proposal Development Services

Call or email Jeralyn directly, indicating:

- Funding agency and grant program/mechanism
- Attach funding opportunity announcement
- Deadline
- Other criteria:
 - New faculty, new(er) to grant writing

Email:

Jeralyn.Haraldsen@uvm.edu

Phone: 656-2982

How Are Grant Proposal Development Services Prioritized?

Requests are prioritized in collaboration with Richard Galbraith, VP for Research.

Factors taken into consideration include:

- Large, complex, multidisciplinary
- New faculty member, or new to grant writing
- Alignment with areas of institutional priority
- Timeliness of request
- Current and projected workload of grant proposal manager

Look for Upcoming Opportunities to Discuss Grant Writing Topics

Available Now:

- PowerPoint slides and videos from monthly
 Grant Writing Brown Bag series (AY16-17)
- Audience:
 - Anyone interested in grant writing "best practices"
 - New, or inexperienced grant seekers



For details: https://www.uvm.edu/ovpr/presentations/grant-writing-videos-pp.php

Internal Funding: OVPR EXPRESS (Early EXtra Promotion of Research and Scholarly Success)

- \$3,000 award to aid in initiating your research and scholarship
 - Funds must be spent by the end of the fiscal year, June 30, 2019
- Eligibility: New tenure-track assistant professors who began appointment on/after July 1, 2018
- Application:
 - One-page description of how funds will jumpstart your research/scholarship
 - One-page budget
 - Abbreviated CV or resume (4 page limit)
 - Letter of support from Dept. Chair or Dean
- Deadline: To Be Announced (usually end of October)

For Questions, or to Request Grant Proposal Development Services

Jeralyn Haraldsen

Grant Proposal Manager

Office: 342A Waterman

Email: Jeralyn.Haraldsen@uvm.edu

Phone: 656-2982

https://www.uvm.edu/ovpr/grant-proposal-manager-services

UVM Innovations Office of Technology Commercialization

- Manage the Intellectual Property created at UVM
 - Evaluate
 - Strategize
 - Protect
 - Commercialize

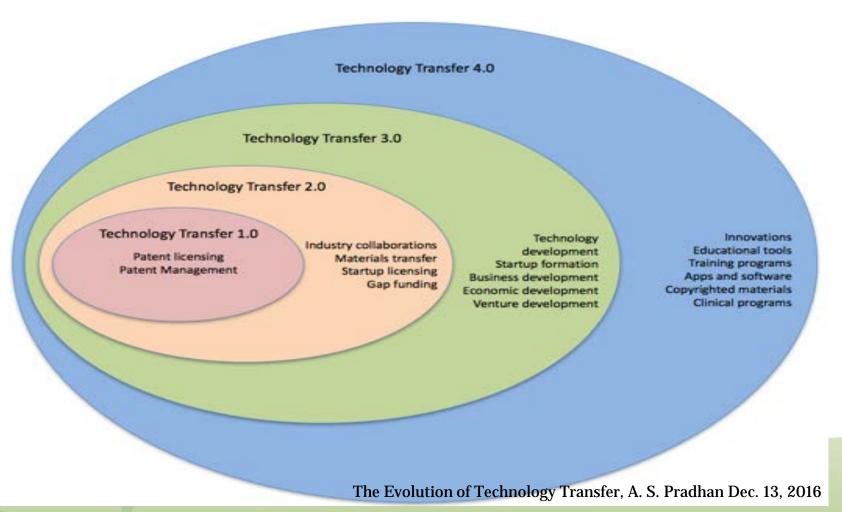
- Team
 - Corine Farewell DVM, MBA Director
 - Kerry Swift MS
 Technology Licensing Officer
 - Jason Powell Esq.
 Intellectual Property & Business Manager
 - Rick Gomez Esq.
 Business Support

Mix of Technical, Scientific, Entrepreneurial, Legal, Corporate & Business Development experience.

University Technology Transfer

- Obligation under Bayh Dole for receipt of government grants
- Facilitate the commercialization of research results for the public good
- Reward, retain, and recruit faculty
- Induce closer ties to industry
- Promote economic growth
- Generate income

Expanding Role of Tech Transfer





Thank You

- Find us at:
 - E201 Given Building in the medical college
 - 802-656-8780
 - innovate@uvm.edu

GROUP CONTACT: SAFETY@UVM.EDU

PHONE: 656-3242



Presenter: Francis Churchill francis.Churchill@uvm.edu

New Faculty are -





New Faculty are -

SUPERVISORS

Shari Sanji, UCLA Dec 2009

> Thea Ekins-Coward U Hawaii Manoa March 2016

Michele Dufault YALE Apr 2011



Roles & Responsibilities of Faculty and PIs

- Policy for Health & Safety in Laboratories
- Communicate safety and health as a core value,
- Assess the risks and requirements associated with the activities they oversee,
- Assure that appropriate precautions are taken against hazards and unsafe practices,
 - o proper personal protective equipment is made available to all personnel,
 - workplace equipment and machinery is routinely maintained,
 - o regular safety inspections are performed and documented, and
 - o students and employees receive job and hazard-specific safety training.

Risk Management & Safety is a resource for all departments at UVM. We have 20 professionals in our department to support 3500 employees and 11,000 students.

Our mission is to manage the protection of University assets, both human and

financial

 Promote safety and accident prevention

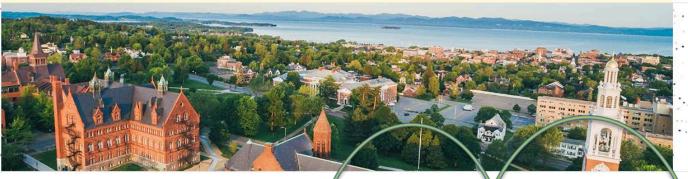
- Support compliance with occupational health, safety, and environmental regulations.
- Minimize legal liabilities



UVM RISK MANAGEMENT AND SAFETY

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Revision state: PUBLISHED Most recent revision: Yes Actions: Unpublish this revision



Top 5 Questions:

- · Who is required to undergo Laboratory Safety Training and how is it done?
- · What is required for the Chemical Inventory and where should be kept?
- · How do I dispose of hazardous chemicals?
- · What Personal Protective Equipment is required when working in the lab?
- · What are considered Biohazardous materials?

RISK MANAGEMENT AND INSURANCE

The University obtains insurance or self-insures against losses or damage to University property Departments that suffer dama les are encouraged to cooperate with Risk Management to promptly investigate, settle, and mitigate the extent of property claims.

ENVIRONMENTAL HEALTH AND SAFETY

At UVM, safety is a partnership and a shared responsibility, requiring the active involvement of people in all departments and positions. Whether you work in or use a laboratory, art studio, or an outdoor location off-site - our goal is to help you be as safe as possible.



UVM RISK MANAGEMENT AND SAFETY

Risk Management and Insurance



Risk Management is the process of identifying, evaluating, and mitigating risks and liabilities associated with activities and operations across campus. We support this effort by providing effective risk financing (through insurance and self-insurance), sound claims management, health and safety support, property protection services, best practice advice, contract review and regulatory compliance assistance

Risk Management staff evaluate risks to students, faculty, staff and visitors, and recommend alternatives for protection against or elimination of these risks. Specifically, the Risk Management Department exists:

- to identify, investigate and propose solutions to problems which pose risk in the area of occupational and environmental health and safety
- to identify and minimize the exposure to hazards in the area of fire and life safety
- to administer and coordinate the University chemical waste disposal program
- to manage the University workers' compensation program
- to procure liability, automobile, property, workers' compensation and other insurance that protects University assets
 and manage all insurance claims in these areas
- · to assess the risk of new and existing programs or activities and suggest ways to minimize liabilities and accidents

ACCIDENT & CLAIM REPORTING
PROCEDURES

LIABILITY RISK MANAGEMENT

GENERAL UNIVERSITY INSURANCE
PROTECTION

WORKERS' COMPENSATION

PROPERTY PROTECTION

PROTECTION OF MINORS

TRAVEL GUIDELINES

DRIVER TRAINING AND CERTIFICATION



Insurance and Claims Management ×

General University Insurance Protection ^

Faculty and staff are covered by blanket bond protection, general liability insurance and errors and omissions insurance while working. If you are sued during the good faith performance of your duties, the University's insurance provides legal counsel and indemnification (protection against possible damage or legal suit).

If you are involved in an accident while operating a personally owned vehicle or a vehicle leased in your name, liability protection will be governed by your automobile incurence severage, not by ovivis. If you are involved in an accident while operating a vehicle owned or leased in the name of the University, you must report the accident to your supervisor immediately who must then report it to Risk Management (656-3242) and Police Services (656-3473).

Your personal property, whether used to perform your assigned duties or not, is not covered by UVM insurance. It is your sole responsibility.

Officer and Employee Indemnification Policy >

INSURANCE POLICIES AND PROGRAMS

LIABILITY RISK MANAGEMENT

INSURANCE AND CLAIMS MANAGEMENT

PROPERTY PROTECTION

PROTECTION OF MINORS

TRAVEL SAFETY

DRIVER TRAINING AND CERTIFICATION

Quick Link

Certificate of Insurance

MENU

UVM RISK MANAGEMENT AND SAFETY

Travel Safety

Call for assistance

INTERNATIONAL

T1 213 342 0220 4 A Melliber Log II

Solutions News & Events Member Zone Multimedia Contact





arch purposes, professional development isks associated with it. Ensuring the safety ight entail, is essential.

LIABILITY RISK MANAGEMENT

PROCEDURES

ACCIDENT & CLAIM REPORTING

GENERAL UNIVERSITY INSURANCE PROTECTION

WORKERS' COMPENSATION

PROPERTY PROTECTION

PROTECTION OF MINORS

TRAVEL SAFETY

DRIVER TRAINING AND CERTIFICATION

Quick Links

- Consent for Treatment during Field Laboratories & Field Trips
- Global Health & Safety
- · Motor Vehicle Use Policy (PDF)
- · Safety for Field Trips and Research

THE WORLD'S LEADING MEI

We are in the business of savir 11,000 employees, led by 5,600 medical p



UVM affiliates (students, faculty and staff) can drive UVM owned and rented vehicles once certified by the Department of Risk Management and Safety. First-time renters must fill out an application to enroll in Driver Safety Training. Others may check on their certification status or apply for re-certification. Explore the information about vehicles available for use, who can rent, and when to apply for certification and training.

- · UVM Vehicles Vehicles available for use include vans, cars, trailers and electric vehicles
- · Who can rent? Once certified, UVM students, faculty and staff can drive UVM vehicles
- · When to apply Be sure to apply early; certification can take up to 30 days

Certification

First Training

Second Training

Frequently Asked Questions

ACCIDENT & CLAIM REPORTING PROCEDURES

LIABILITY RISK MANAGEMENT

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PROTECTION OF MINORS

TRAVEL SAFETY

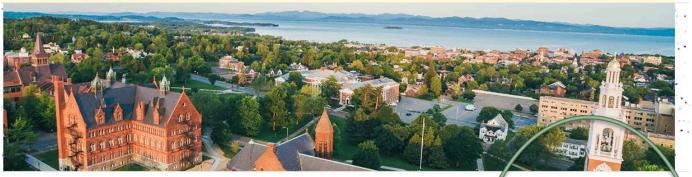
DRIVER TRAINING AND CERTIFICATION

Contact Us

UVM RISK MANAGEMENT AND SAFETY

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You have a right to a safe & healthy workplace, it's the law! (Download VOSHA poster (PDF) of workplace safety rights and responsibilities).

CORE INSTITUTIONAL VALUES FOUNDATIONAL TO A CULTURE OF SAFETY

- Safety is everyone's responsibility. A safe campus environment is a right of employment for all categories of employees. A safe campus learning environment is a right of all involved in education and research.
- Good science is safe science. Safety is a critical component of scholarly excellence and responsible conduct of research.
- Safety training and safety education are essential elements of research and education. They instill a culture of
 safety in the next generation of researchers and future faculty, and they are important for our students' career
 development and employability.
- 4. An improved culture of safety is necessary to truly reduce risk throughout the academic enterprise.
- It is best to recognize that diverse methods and flexible approaches will be used by each institution to develop a strong culture of safety, unique to its situation.
 APLU Produces Guide to Implementing Safety Culture (PDF)

FIRE AND LIFE SAFETY

SAFETY IN THE LABORATORIES

SAFETY IN WORKSHOPS AND MACHINE SHOPS

SAFETY IN THE ARTS AND THEATRE

SAFETY FOR FIELD WORK AND FARM OPERATIONS

OCCUPATIONAL HEALTH & SAFETY

WASTE DISPOSAL AND MANAGEMENT

FACILITIES AND CONSTRUCTION

Ouick Links

- · Driver Training and Certification
- Reporting Incidents and Concerns
- + Responsibilities and Plan
- · Safety Policies and Plans

Campus Fire Safety Program

In the event of a fire: call 911 from any campus phone or 656-FIRE (3473)
Please do not attempt to put out a fire unless you have had training by UVM's Fire Marshall.





Common Fire Code Violations





Excessive Combustibles



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Ouick Links

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1. Register

- 2. Work Safely
- 3. Get Trained
- 4. Self-Inspect

LABORATORY SAFETY OVERVIEW

Register Your Laboratory:

Complete the online lab registration so that emergency responders can identify the emergency contacts and the hazards in your lab(s). Be sure to make note of any special considerations in the comment section.

Identify the Hazards and

Evaluate and Control Lab Hazards:

Identify hazardous materials, laboratory procedures and equipment in use. Hazards must be controlled through engineering controls, administrative controls, and with proper personal protective equipment.

Train and Inform Lab Personnel:

Assure that all lab workers are properly trained regarding the hazards of any hazardous materials, laboratory procedures, equipment they are using, the means to control the hazards and emergency procedures involving any of these items.

Create a Laboratory Safety Notebook:

to store all required safety documentation.

Manage Your Lab Wastes:

Lab workers must determine the appropriate disposal method for all wastes in their lab, including hazardous and non-hazardous waste. Types of lab waste include lab trash, recyclables, chemical waste, biological waste, radioactive waste, uncontaminated waste and broken glass, universal waste (e.g. CFLs, batteries, ballasts), and techno trash.

Institute General Lab Safety Practices:

Regular housekeeping can eliminate many hazards in the lab. Keep work areas free of clutter and contamination.

Prepare for Emergencies:

Plan ahead so that everyone in the lab is better prepared to respond to an emergency swiftly and appropriately. Planning ahead helps to minimize injury to personnel, damage to equipment and facilities and any releases to the environment.

UVM's Safety Coordinators



Sonia Godoy-Tundidor



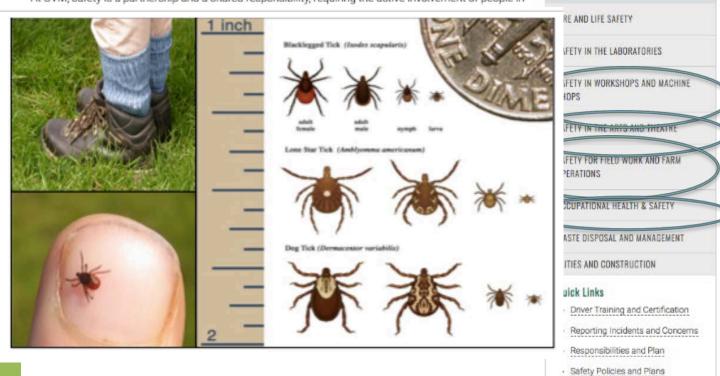
Vikki Carhart



Lee Diamond



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Evaluate Health & Safety Issues





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WASTE DISPOSAL AND MANAGEMENT

ACHTES AND CONSTRUCTION

Quick Links

- · Driver Training and Certification
- · Reporting Incidents and Concerns
- + Responsibilities and Plan
- · Safety Policies and Plans

Regulated Hazardous Waste Management





Main Campus Accumulation Area



Regulated Hazardous Waste Management continued...

Don't work in a research lab and have hazardous waste?

Email us at waste@uvm.edu



Aerosol cans



Paint or Paint supplies



Broken bulbs



Glycols (antifreeze)



Oil or oily debris

Hazardous Spill Response



We Love Paperwork...(said no one ever!)

Injury & Property Damage Reporting

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ŀ	University of Vermont and State Agricultural College 3. Mail Address: No. and Street					Name: University of Vermont and State Agricultural College City State Zio						
١	284 East Ave						Burington VT 05405					
ĺ	Location (if different from Mail Address): SAME					5. Telephone Number, Extension and Contact Person.: Sarah Burnet - 802-656-0738						
ł	Nature of Business (list principal products or service of					7. Do you regularly employ 10 or						
1	concern): HIGHER EDUCATION		200		one	ployees?			s desiritation A	00.0470	440	
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ĺ	30. Any Lost Time? If yes, date disability			Last date paid i				sumed t	If yes, da	te M	ledical Only Incident:	
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	report non-employee injuries ate as possible. We encourag		its.
Date:	Time of accid	ent:	V4V/
Name of person reporting	g incident (please print):		
Street Address			
City	State	Phone #:	_
COMPLETE THIS SECTION I	F THERE WAS AN INJURY:		
Type of Bodily injury (If an	vt:		
The injured person(s) is a:	Student non-affiliate		
Location of accident:			
Name(s) of Person(s) injur	red:		_
Describe exactly what ha	appened:		_
Emergency medical trea	tment given? Yes No		
To Whom?	By whom?		_
Describe procedure (s): _			-
Person(s) taken to hospita	218 Yes No Name(s):		
Name of hospital:	-ce 30-10 21-4		
Were police called to the	scene? Yes No		
Name of police departm	net and officer		



The most reported injury on campus is due to slips, trips & falls.

A walking campus = safe, comfortable shoes

















Things to Do

- 1. Locate your Evacuation Map & Routes, and Fire Extinguisher.
- 2. Review UVM's Policies Page (at least the titles).
- 3. Meet your Lab Safety Coordinator & Register your Lab (as appropriate).
- 4. Buy Shoes.
- 5. Have Fun!

Risk Management & Safety We're here to help

Group contact: safety@uvm.edu or Risk.Management@uvm.edu

Main phone: 656-3242