**DATA USE AGREEMENT**

**For use with a De-identified Data Set**

Note to Drafter of this DUA: delete afterwards:

This is drafted for UVM outgoing data - For incoming de-identified data you will need to reverse the roles

This Data Use Agreement (“Agreement”) is made and entered into as of this [INSERT DATE] (the “Effective Date”), by the University of Vermont and State Agricultural College (hereafter “PROVIDER”), employer of [INSERT PROVIDER PI NAME], (hereafter “PROVIDER Investigator”) and [INSERT RECIPEINT INSTITUTION NAME] (hereafter “RECIPIENT”), on behalf of its employee [INSERT RECIPEINT PI NAME], (hereafter “RECIPIENT Scientist”). PROVIDER and RECIPIENT, hereafter referred individually as a (“Party”) or together as the (“Parties”).

**RECITALS**

**WHEREAS**, PROVIDER’s Investigator contemplates sending RECIPIENT Scientist certain de-identified information (“De-identified Data Set”) for the purpose of conducting research.

**WHEREAS**, it is the intent of both parties to fully comply with HIPAA, and other state and federal laws related to confidentiality and information security;

THEREFORE, in consideration of the foregoing recitals the parties agree as follows:

1. **SCOPE AND PURPOSE**
2. This Agreement sets forth the terms and conditions pursuant to which PROVIDER may disclose a De-identified Data Set to RECIPIENT for the purpose of conducting a Project (“Project”) as summarized below;

Instructions to the Drafter of this DUA; delete instructions after completion of this section:

This section of the agreement should provide sufficient information such that each party understands the project that the Recipient will perform using the Data. Content of this section will be very similar to a short version of a Statement of Work used in other types of Agreements. Examples of information that should be provided include:

* + - Objective or purpose of the Recipient’s work
		- A general description of the actions to be performed by the Recipient using the Data and possibly the anticipated results
1. Description of the De-identified Data Set:

Instructions to the Drafter of this DUA; delete instructions after completion of this section:

This section of the Agreement should provide sufficient information that both parties understand the data being provided under this Agreement. Examples of information that should be provided include:

* + - Whether the data is obtained from human subjects and, if so, a description of the population included in the data.
		- If the data is from animal subjects, the species of animal the data was obtained using.
		- If not from human or animal subjects, a description of the focus of the data.
		- Name of the Project that the data was obtained under
* If the data was generated by a sponsored project that needs to be acknowledged/cited as the source of the data, this information should be included here.
1. Transferring the De-identified Data Set:
2. PROVIDER shall transmit the De-identified Data Set to the RECIPIENT Scientist following best practices: (select one) [ ] electronically or by[ ]  mail.
3. Upon execution of this Agreement, PROVIDER Investigator or RECIPIENT Scientist shall provide any specific instructions necessary to complete the transfer of the De-identified Data Set.

**B. RECIPIENT OBLIGATIONS**

1. RECIPIENT shall use the De-identified Data Set to conduct the Project and solely by RECIPIENT Scientist and other RECIPIENT faculty, employees, fellows, students, and agents (“RECIPIENT Personnel”) that have a need to use the De-identified Data Set in connection with the Project and whose obligations of use are consistent with the terms of this Agreement. RECIPIENT shall not use the De-identified Data Set for any other purpose, without the prior written approval of PROVIDER.
2. RECIPIENT shall implement appropriate technical and administrative safeguards to prevent the use or disclosure of the De-identified Data Set for purposes other than as provided for by this Agreement.
3. RECIPIENT agrees to retain control over the De-identified Data Set and shall not disclose, release, sell, rent, lease, loan, or otherwise grant access to the De-identified Data Set to any third party, without the prior written consent of PROVIDER. If approved, RECIPIENT will ensure the third party agrees to the same restrictions and conditions that apply through this Agreement to the RECIPIENT with respect to such information.
4. RECIPIENT shall promptly report to PROVIDER any use or disclosure of the De-identified Data Set that is not allowed for under this Agreement of which it becomes aware.
5. Upon request, RECIPIENT will provide PROVIDER access to any resulting de-identified aggregate data set. PROVIDER may use the de-identified aggregate data for research purposes.
6. **PUBLICATIONS/PUBLICITY**

1. The Parties agree dissemination of Project findings, by publication or otherwise is a valuable objective of the Project. Joint publications are encouraged with authorship of such publications decided according to commonly accepted conventions for scientific publications.

2. RECIPIENT shall provide the PROVIDER Investigator access to, either electronically or in paper form, a copy of every publication of material based on or developed under this agreement.

3. Neither Party shall use the other party’s name, trademarks, or other logos in any publicity, advertising, or news release without the prior written approval of an authorized representative of that party.

4. The Parties agree that each party may disclose factual information regarding the existence and purpose of the relationship that is the subject of this Agreement without written permission from the other Party provided that any such statement shall not in any manner imply endorsement by the other Party whose name is being used.

1. **MISCELLANEOUS**
2. In the event of any conflict between the terms and conditions stated within this Agreement and those contained within any other agreement or understanding between the Parties, written, oral or implied, the terms of this Agreement shall govern.
3. Except to the extent prohibited by law, the RECIPIENT assumes all liability for damages, which may arise from its use, storage, disclosure, or disposal of the De-identified Data Set. PROVIDER will not be liable to the RECIPIENT for any loss, claim, or demand made by the RECIPIENT, or made against the RECIPIENT by any other party, due to or arising from the use of the De-identified Data Set by the RECIPIENT, except to the extent permitted by law when caused by the gross negligence or willful misconduct of PROVIDER. No indemnification for any loss, claim, damage, or liability is intended or provided by either Party under this Agreement.
4. **TERM and TERMINATION**
5. The term of this Agreement shall for [INSERT time period, normally 1- 3 years] beginning on the Effective Date as first written above, and shall terminate on, or when the De-identified Data Set has been destroyed by RECIPIENT and PROVIDER has been notified, whichever occurs first. On termination or expiration, the RECIPIENT will cease to use any Data received under this Agreement.
6. Agreement to protect the De-identified Data Set shall survive termination of this Agreement.
7. In the event that RECIPIENT breaches this Agreement, PROVIDER, in its sole discretion, may: i) terminate this Agreement upon written notice to RECIPIENT; or ii) request that RECIPIENT, to the satisfaction of PROVIDER, take appropriate steps to cure such breach. If RECIPIENT fails to cure such breach to PROVIDER’s satisfaction in the time prescribed by PROVIDER, PROVIDER may terminate this Agreement immediately, upon written notice to RECIPIENT.
8. **NOTICES**
9. Any notice permitted or required by this Agreement shall be in writing and sent to the contact address as noted below or as may be provided by either Party to the other in writing from time to time.

PROVIDER Investigator:

[INSERT UVM PI ADDRESS, PH, EMAIL]

Copy to PROVIDER Administrative Office:

Director, Sponsored Project Administration

217 Waterman Building

85 South Prospect Street

Burlington, Vermont 05405-0160

Ph: (802) 656-3360

Email: spa@uvm.edu

RECIPIENT Scientist:

[INSERT RECIPIENT PI ADDRESS, PH, EMAIL]

Copy to RECIPIENT Administrative office:

[INSERT RECIPIENT ADDRESS, PH, EMAIL]

IN WITNESS WHEREOF, the parties have enter into this Agreement as of the Effective Date set forth above.

**PROVIDER: THE UNIVERSITY OF VERMONT RECIPIENT:** [INSERT RECIPEINT INSTITUTION NAME]

Signed: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** Signed: **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

Name: Brian Prindle Name:

Title: Executive Director, Research Title: Administration

Date: \_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_

**Investigator/Scientist Acknowledgement;**

I have read and understand my obligations pursuant to the above agreement.

PROVIDER/UVM Investigator Signature: Date:

[INSERT UVM PI NAME]

RECIPIENT Scientist Signature: Date:

[INSERT RECIPIENT SCIENTITST NAME]