



FCOI & COI/COC

UVMClick Website
UVMClick Login

<https://www.uvm.edu/ovpr/uvclick>
<https://coi.connect.uvm.edu/COI>

Email Support

UVMClick@uvm.edu

FCOI & COI/COC Certification Statuses - What Each Status Means - last updated 12/07/22

- UVMClick-FCOI encompasses both Conflict of Interest (COI) and Conflict of Commitment (COC) disclosures.
- The below workflow diagram to show where your Certification is in the workflow.
- All new Certifications are initially assigned a status of "Draft."
- Then, as the Certification is completed and submitted by the Discloser, the workflow diagram automatically changes to reflect progress of the Certification.



Draft

Once created, the Certification will be in a Draft status. It is still in the Inbox of the Discloser. The Discloser will complete the Certification.

In Review

Once the Discloser submits the Certification, the bubble moves to "In Review." The submission is under review by:

- FCOI: UVM's Research Compliance Officer, who will determine if an FCOI Committee Review is required.
- COI/COC: The Dean or Designee (faculty) or the Unit Chief Officer/Vice President or Designee (staff), who will determine if review by the Office of General Counsel, the Provost/Designee, or the President/Designee (COI/COC Review Group) is required.

Under Mgmt Plan

For FCOI: If UVM's FCOI Committee determines that a Management Plan is required, UVM's FCOI Committee, in collaboration with UVM's Research Compliance Officer, will develop a DRAFT Management Plan and will send it to the Discloser for review and approval. Once the Discloser accepts the Management Plan, the bubble moves to "Under Mgmt Plan". At that time, an FCOI Monitor is assigned to oversee the management plan and to file periodic reports.

For COI/COC: If the Unit Chief Officer or Vice President determines that a Management Plan is required, the Chief Officer/Vice President, in collaboration with applicable members of the COI/COC Review Group will develop a DRAFT Management Plan and will send it to the Discloser for review and approval. Once the Discloser accepts the Management Plan, the bubble moves to "Under Mgmt Plan." At that time, a COI/COC Monitor is assigned to oversee the Management Plan and to file periodic reports.


Complete

When a Management Plan is completed or terminated, UVM's Research Compliance Officer (for FCOI) or the Unit Chief Officer/Vice President (for COI/COC) will mark the plan as satisfied. This will move the bubble to "Complete."

Or, if the UVM Research Compliance Officer (FCOI) or the Unit Chief Officer/Vice President (for COI/COC) determines there is no need for a Management Plan, the bubble automatically moves to "Complete."

Other Statuses that may display

- Administrative Review - Certifications sent to the UVM Research Compliance Officer (FCOI) or to the Unit Chief Officer/Vice President (for COI/COC) for review
- Scheduled for Meeting - Certifications waiting for review by the FCOI Committee or by the COI/COC Review Group
- No Review Required - Certifications that do not have any disclosure issues
- Discloser Review of Plan - A Management Plan has been created and requires the approval of the discloser
- Management/Mitigation Plan Satisfied - A Management Plan has concluded and requires no further monitoring



Workflow Bubble	Status
Draft	Draft
Complete	No Review Required
	Review Complete
	Management/Mitigation Plan Satisfied
In Review	Administrative Review
	Administrative Review: Response Pending
	Review Complete: Preparing Correspondence
	Discloser Review of Plan
Under Mgmt Plan	Under Management/Mitigation Plan