The University of Vermont

FACULTY SENATE

Research, Scholarship & the Creative Arts Committee
January 13, 2022
12:30-2:00
Microsoft Teams

Present: Raju Badireddy (CEMS), Mildred Beltre (CAS, Fine Arts Rep), Brandon Bensel (Postdoctoral Association), Thomas Borchert (FS President), Mary Cushman (LCOM), Evan Eyler (Faculty Senate Vice President), Jennifer Hurley (CESS), Dimitry Krementsov (CNHS), Jill Preston (CALS), Christie Silkotch (LIB), Jonah Steinberg (CAS), Daniel Weiss (LCOM)

Absent: Marieka Burg (CAS), Rachelle Gould (RSENR), Pending (GSS), Sarah Wood (SGA), Chun Zhang (GSB)

Guests: Brian Prindle

Co-Chair Beltre called the meeting to order at 12:33 pm via Microsoft Teams.

1. **Approval of the Minutes.** Thomas moved to accept the minutes as written, it was seconded by Jennifer. The minutes of the December 2021 meeting were approved as written.

2. **Chair Update.**

    o   School of Arts Proposal, will be voted on at the next Faculty Senate meeting. For more information, please see the January 24, 2022 Faculty Senate agenda https://www.uvm.edu/faculty_senate.

3. **SPA Update, Brian Prindle**

FY22 Research Administration Updates

(SPA, UVMClick, PI Portal)

RSCA Mtg 1/13/2022

Brian Prindle

Executive Director,
Research Administration
and Integrity

The University of Vermont

Research Administration

Research Administration is comprised of the following offices.

1. **OFFICE OF THE EXECUTIVE DIRECTOR**

2. **SPONSORED PROJECT ADMINISTRATION**

3. **RESEARCH PROTECTIONS OFFICE**

4. **RESEARCH COMPLIANCE & INTEGRITY**

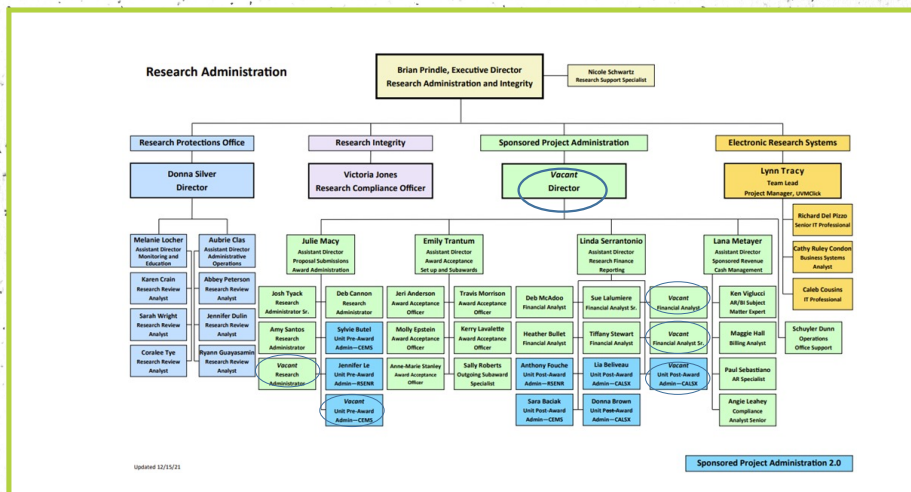5. **ELECTRONIC RESEARCH SYSTEMS**

   UVMClick **UVMCLICK**

   PI Portal **PI PORTAL**

Research Administration

Brian Prindle, Executive Director
Research Administration and Integrity

Nicole Schwartz
Research Support Specialist

Research Protections Office	Research Integrity	Sponsored Project Administration	Electronic Research Systems
Donna Silver, Director	Victoria Jones, Research Compliance Officer	*Vacant*, Director	Lynn Tracy, Team Lead, Project Manager, UVMClick

Research Protections Office

- Melanie Locher, Assistant Director Monitoring and Education
  - Karen Crain, Research Review Analyst
  - Sarah Wright, Research Review Analyst
  - Coralee Tye, Research Review Analyst
- Aubrie Clas, Assistant Director Administrative Operations
  - Abbey Peterson, Research Review Analyst
  - Jennifer Dulin, Research Review Analyst
  - Ryann Guayasamin, Research Review Analyst

Sponsored Project Administration

- Julie Macy, Assistant Director Proposal Submissions Award Administration
  - Josh Tyack, Research Administrator Sr.
  - Amy Santos, Research Administrator
  - *Vacant*, Research Administrator
- Deb Cannon, Research Administrator
  - Sylvie Butel, Unit Pre-Award Admin—CEMS
  - Jennifer Le, Unit Pre-Award Admin—RSENR
  - *Vacant*, Unit Pre-Award Admin—CEMS
- Emily Trantum, Assistant Director Award Acceptance Set up and Subawards
  - Jeri Anderson, Award Acceptance Officer
  - Molly Epstein, Award Acceptance Officer
  - Anne-Marie Stanley, Award Acceptance Officer
- Travis Morrison, Award Acceptance Officer
  - Kerry Lavalette, Award Acceptance Officer
  - Sally Roberts, Outgoing Subaward Specialist
- Linda Serrantonio, Assistant Director Research Finance Reporting
  - Deb McAdoo, Financial Analyst
  - Heather Bullet, Financial Analyst
  - Anthony Fouche, Unit Post-Award Admin—RSENR
  - Sara Baciak, Unit Post-Award Admin—CEMS
- Sue Lalumiere, Financial Analyst Sr.
  - Tiffany Stewart, Financial Analyst
  - Lia Beliveau, Unit Post-Award Admin—CALSX
  - Donna Brown, Unit Post-Award Admin—CALSX
- *Vacant*, Financial Analyst
  - *Vacant*, Financial Analyst Sr.
  - *Vacant*, Unit Post-Award Admin—CALSX
- Lana Metayer, Assistant Director Sponsored Revenue Cash Management
  - Ken Viglucci, AR/BI Subject Matter Expert
  - Maggie Hall, Billing Analyst
  - Paul Sebastiano, AR Specialist
  - Angie Leahey, Compliance Analyst Senior

Electronic Research Systems

- Richard Del Pizzo, Senior IT Professional
- Cathy Ruley Condon, Business Systems Analyst
- Caleb Cousins, IT Professional
- Schuyler Dunn, Operations Office Support

Updated 12/15/21

Sponsored Project Administration 2.0

FY21 Research by the Numbers

300+ PRINCIPAL INVESTIGATORS	**1100** PROPOSALS SUBMITTED	**711** AWARDS ACCEPTED
1000+ ACTIVE PROJECTS	**$204M** TOTAL AWARD AMOUNT	**$191M** FY20 HERD RESEARCH EXPENDITURES

SPA Updates

1. SPA Director Search
2. SPA 2.0
3. PeopleSoft Integration Project with UVMClick Award Data
4. SPA New Award Set-up Timelines
5. PI Portal will include Personnel Time Commitments
6. Controlled Unclassified Information (CUI)
7. NRSA Childcare Reimbursement Guidance
8. NSPM-33 (Hot off the Press)
9. SPA Workload/Assignment Reports (Tour)

1. SPA Updates – Director Search

We are conducting an internal UVM search first, for the next Director of SPA.

1. The search committee is comprised of the following:

Julie Macy	SPA
Linda Serrantonio	SPA
Emily Trantum	SPA
Tina Mazuzan	Medicine
Rose Feenan	Rubenstein
Stephanie Dion	CALS

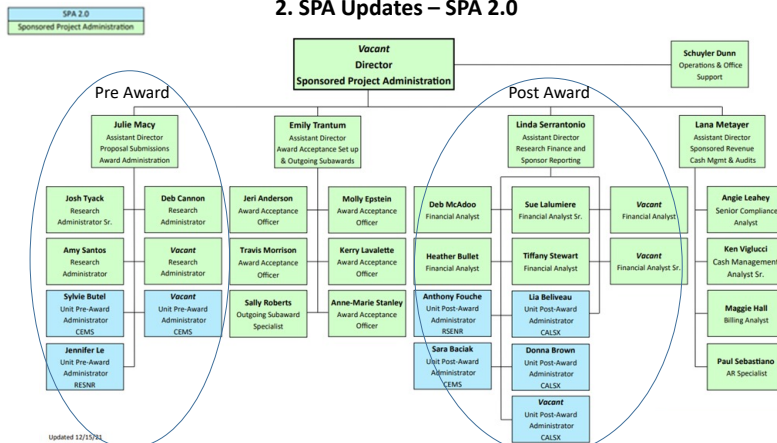2. In addition, the candidate will meet with the Associate Dean's for Research and Vice President for Research.

3. Interviews are in the works.

4. Hope to have an outcome by the end January 2022.

2. SPA Updates – SPA 2.0

- In collaboration with a partner College, SPA operates the college research administration service center.

- Staffed with SPA employees.

- Services Provided: Pre-Award (proposal submissions) and Post-Award (spending/management) activities.

- SPA 2.0, initiative creates one team and sets forth the framework for a consistent and coordinated approach to sponsored project administration.

- Current Partners:
  - CEMS Pre and Post Award Research Administration
  - RSENR Pre and Post Award Research Administration
  - CALS Post Award Research Administration

2. SPA Updates – SPA 2.0

SPA 2.0
Sponsored Project Administration

Vacant
Director
Sponsored Project Administration

Schuyler Dunn
Operations & Office Support

Pre Award   Post Award

Julie Macy
Assistant Director
Proposal Submissions
Award Administration

Emily Trantum
Assistant Director
Award Acceptance Set up & Outgoing Subawards

Linda Serrantonio
Assistant Director
Research Finance and Sponsor Reporting

Lana Metayer
Assistant Director
Sponsored Revenue
Cash Mgmt & Audits

Josh Tyack – Research Administrator Sr.	Deb Cannon – Research Administrator	Jeri Anderson – Award Acceptance Officer	Molly Epstein – Award Acceptance Officer	Deb McAdoo – Financial Analyst	Sue Lalumiere – Financial Analyst Sr.	Vacant – Financial Analyst	Angie Leahey – Senior Compliance Analyst
Amy Santos – Research Administrator	Vacant – Research Administrator	Travis Morrison – Award Acceptance Officer	Kerry Lavalette – Award Acceptance Officer	Heather Bullet – Financial Analyst	Tiffany Stewart – Financial Analyst	Vacant – Financial Analyst Sr.	Ken Viglucci – Cash Management Analyst Sr.
Sylvie Butel – Unit Pre-Award Administrator CEMS	Vacant – Unit Pre-Award Administrator CEMS	Sally Roberts – Outgoing Subaward Specialist	Anne-Marie Stanley – Award Acceptance Officer	Anthony Fouche – Unit Post-Award Administrator RSENR	Lia Beliveau – Unit Post-Award Administrator CALSX		Maggie Hall – Billing Analyst
Jennifer Le – Unit Pre-Award Administrator RESNR				Sara Baciak – Unit Post-Award Administrator CEMS	Donna Brown – Unit Post-Award Administrator CALSX		Paul Sebastiano – AR Specialist
					Vacant – Unit Post-Award Administrator CALSX		

Updated 12/15/21

3. SPA Updates – UVMClick/PeopleSoft Integration Project

The Project:

- Moving UVMClick Award Data to PeopleSoft electronically.
- Eliminating 90% of the manual entry.
- Allows chartstrings and releasing budget to PeopleSoft to be quicker, with less errors.
- A 12-month project using our staff from SPA, ETS, Huron and the UVMClick Team.
- Coding, Testing, Fixing, Coding, Testing, Fixing, Testing…
- This has project slowed award set-up for the first half of FY22.

Success:

- PeopleSoft (PS Integration) Project is now Live and has been functioning as designed for the last 2-weeks.

- The new SPA set-up process, invisible to campus, still has a small learning curve but in the coming weeks you will see the efficiencies this has brought to the award set-up process.

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4. SPA Updates – Award Set-up Timelines

FY21 new award set up times for campus.

344 new awards.

- 30% of new awards are set-up in 10 business days or less.
- 70% of new awards are set-up in 30 buisness days or less.

FY21 New Award Complete Time
Business Days
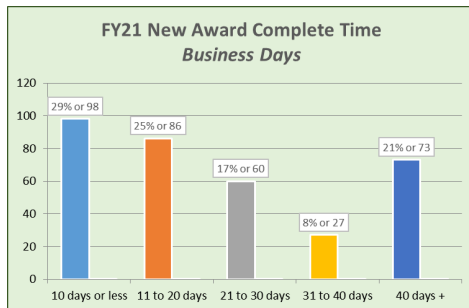
Award Complete Time (# Business Days)	Count	% of Total
10 days or less	98	28.5%
11 to 20 days	86	25.0%
21 to 30 days	60	17.4%
31 to 40 days	27	7.8%
40 days +	73	21.2%
Total	**344**	**100.0%**

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4. SPA Updates – Award Set-up Timelines

FY22 new award set up times for campus.

145 new awards FYTD (July 1 – Dec 31).

- 12% of new awards are set-up in 10 business days or less.
- 64% of new awards are set-up in 30 buisness days or less.

FY22 (to date) Award Complete Time
Number of Business Days
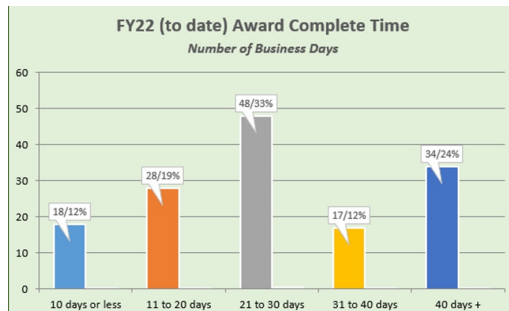
Award Complete Time (Number of Business Days)	Count	% of Total
10 days or less	18	12.4%
11 to 20 days	28	19.3%
21 to 30 days	48	33.1%
31 to 40 days	17	11.7%
40 days +	34	23.4%
Total	**145**	**100.0%**

4. SPA Updates – Award Set-up Timelines

FY21 vs FY22 FYTD (Q1 + Q2)

Award Complete Time
for New Awards
July to December FYTD - FY21 and FY22
Number of Business Days

	10 days or less	11 to 20 days	21 to 30 days	31 to 40 days	40 days +
2021	58	39	27	11	50
2022	18	32	49	20	36
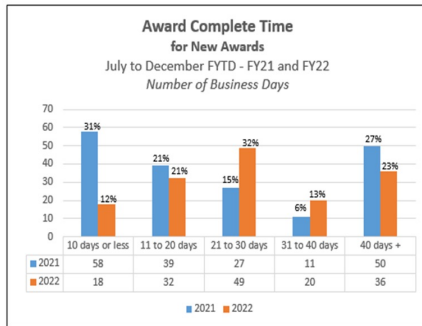
UVM

# Business Days	10 days or less	11 to 20 days	21 to 30 days	31 to 40 days	40 days +	Total
2021	58	39	27	11	50	185
% of Total	31%	21%	15%	6%	27%	100%
2022	18	32	49	20	36	155
% of Total	12%	21%	32%	13%	23%	100%

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5. SPA Updates – PI Portal

Project Summary | Payroll Information | Transaction Detail | Financial Documents | Personnel Commitments

Project Summary

PS Project	037614 EPSCoR ISS Flight Project	PS Award	AWD00000113
Project Mgr	Dragon,Julie Ann	Award PI	Cole,Bernard F.
PM Home Dept	COM Microbio & Molec Genetics	Award Title	EPSCoR ISS Flight Project for uTitan instrument
College	CEMS	Award Dept	CEM Dean's Ofc
		Sponsor	National Aeronautics and Space Adm/NASA
Status	ACTIVE Cost Share? N	Sponsor Award ID	80NSSC20M0145
Start Date	07/01/2020 End Date 06/30/2023	Prime Sponsor	
	Includes 90 Day Pre-award spending:	Prime Award ID	
Sponsored Total Direct Remaining	30,128.63	F+A Rate (%)	56.00
		F+A Base	Modified Total Direct Cost
		Contract Type	Cost Reimbursement
		Award Purpose	RESEARCH - Applied

Budget Summary / Chartstrings | Download Results to Excel First 1-13 of 13 Last

	Account	Account Description	Budget	Open Encumbrances (Thru 6/30)	Expenditures	Remaining Amount
1	F5000	Personnel Salary	33498.00	4206.36	13863.94	15427.70
2	F5990	Fringe Benefits	12182.00	1960.17	6509.25	3712.58
3	F6000	Other Expenses and Services				
4	F6004	Lab Research Supplies & Servic	13923.00		7434.65	6488.35
5	F6011	Publication Costs				
6	F6021	Computer Devices				
7	F6050	Domestic Travel	4500.00			4500.00
8	F6104	Research Subject Costs				
9	F6120	Consultant & Contract Services				
10	F6510	Equipment Maintenance				
11		TOTAL DIRECT	64103.00	6166.53	27807.84	30128.63
12		TOTAL F+A	35897.00		15572.46	20324.54
13		TOTAL	100000.00	6166.53	43380.30	50453.17

PI Portal:
Project Summary
(Budget & Expenditures)

New Feature
Personnel Commitments

Go Live Jan 21, 2022

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5. SPA Updates – PI Portal

Personnel Commitments

Project Summary | Payroll Information | Transaction Detail | Financial Documents | Personnel Commitments

Personnel Commitments

Award ID AWD00000113   Sponsor Award ID 80NSSC20M0145

Project 037614   Project Start Date 07/01/2020   End Date 06/30/2023

Note: Sponsor Approval Required for Key Personnel Changes

Personalize | Find | First 1-9 of 9 Last

	Emplid	Name	Empl Class	Key Personnel	Role	Start Date	End Date	Academic	Academic Cost Share	Calendar	Calendar Cost Share	Summer	Summer Cost Share
1	0111680	Cole,Bernard F.	09F	Y	Principal Investigator	07/01/2020	06/30/2021					1.00	
2	0111680	Cole,Bernard F.	09F	Y	Principal Investigator	07/01/2021	06/30/2022					1.00	
3	0111680	Cole,Bernard F.	09F	Y	Principal Investigator	07/01/2022	06/30/2023					1.00	
4	0094320	Dragon,Julie Ann	12F	N	Faculty	07/01/2020	06/30/2021			2.00			
5	0094320	Dragon,Julie Ann	12F	N	Faculty	07/01/2021	06/30/2022			2.00			
6	0094320	Dragon,Julie Ann	12F	N	Faculty	07/01/2022	06/30/2023			2.00			
7	0044110	Tighe,Scott	12S	N	Faculty	07/01/2020	06/30/2021			5.00			
8	0044110	Tighe,Scott	12S	N	Faculty	07/01/2021	06/30/2022			6.50			
9	0044110	Tighe,Scott	12S	N	Faculty	07/01/2022	06/30/2023			2.50			

6. SPA Updates – CUI

1. The federal government has launched a Controlled Unclassified Information (CUI) Program.

2. The CUI Program standardizes the way the federal agencies will identify and manage information that does not qualify as classified, but requires protection under laws, regulations, or Government-wide policies.

3. CUI requires strict safeguarding and dissemination control.

4. CUI will be marked "CUI" in the banner and footer of the document.

5. CUI Project: Means a project that will need access or receive CUI to conduct the project work.

6. SPA Procedures and Guidance - Controlled Unclassified Information (CUI)

7. Where a CUI Project is identified the Principal Investigator will work with UVM's Chief Information Security Officer to develop an appropriate Information Security Plan (ISP).

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7. SPA Updates – NRSA Fellowships Childcare Reimbursement

1. NIH allows childcare reimbursement be provided on both the individual NRSA and Institutional NRSA Fellowship awards.

2. NRSA Pre and Post doc Fellows can receive up to $2,500 per 12-month budget period for costs for childcare provided by a licensed childcare provider.

3. Both Pre and Post Doc Fellows will receive reimbursement via payroll as an "Additional Pay".

4. UVM's NRSA Childcare Reimbursement Guidance Document

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8. SPA Updates – NSPM-33

On January 14, 2021, the White House issued a NATIONAL SECURITY PRESIDENTIAL MEMORANDUM – 33

(NSPM-33)

1. NSPM-33 directs the heads of U.S. research funding agencies with establishing policies on various aspects of research security, including:

   • Researcher disclosure requirements (Current and Pending Support)
   • Use of digital persistent identifiers (DPIs)
   • Appropriate consequences, Corrective Action Plans
   • Research security program
      • (cyber security, international travel, training in threat awareness and identification, certification of program, false claim acts, should vs must)

3. Each Grant making agency will be issuing independent guidance implementing NSPM-33.

4. More to come.

The RSCA would like to help SPA in any way possible. They would like to see more resources given to SPA to help the process. The RSCA would like Brian to come to another meeting in the near future to further discuss what the RSCA can do it help.

4. **Libraries Resolution.**

Resolution in support of Library Budget

Draft, January 2022

The Faculty Senate calls on the leadership of the University of Vermont to support and fund the UVM library system to an extent comparable to peer universities that have R1 status, due to the critical intellectual infrastructure that the libraries represent.

This resolution was discussed at the Faculty Senate Student Affairs Committee this morning were there were some updates suggested to the resolution. Thomas Borchert presented the updated resolution to the RSCA.

The Faculty Senate calls on the leadership of the University of Vermont to support and fund the UVM library system to an extent commensurate with our peer and aspirant universities and that supports growth to an R1 level, due to the critical intellectual infrastructure that the libraries represent.

Motion: Mary Cushman moved to approve the new version of the resolution; Dan Weiss seconded the motion.

Vote: 7 approve, 0 oppose, 0 abstain. **The motion carried**.

5. **Old or New Business.**
   o RSCA needs a co-chair for the spring, Mildred has a teaching conflict. If you are interested, please reach out to the Faculty Senate Office.

6. **Adjourn.** The meeting adjourned at 2:05 pm.

The next meeting of the RSCA will take place on Thursday, February 10th at 12:30 on Microsoft Teams.