

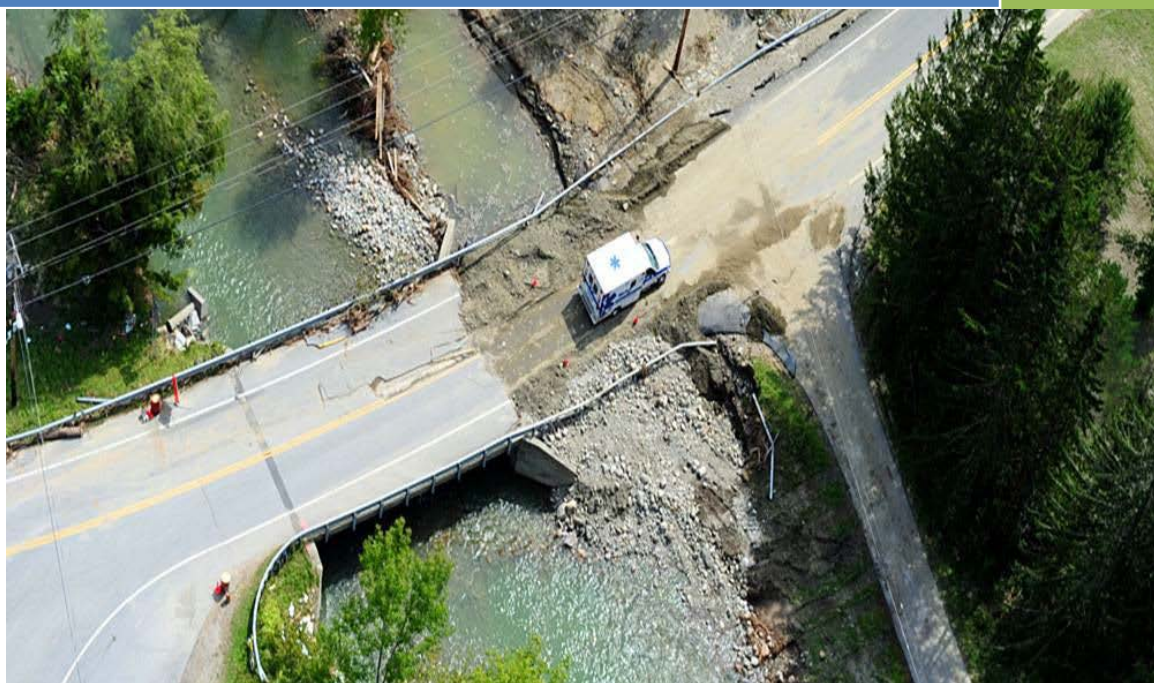
# Rapid Exploitation of Commercial Remotely Sensed Imagery for Disaster Response & Recovery

Principal Investigator: Austin Troy  
Program Manager: Caesar Singh

COOPERATIVE AGREEMENT  
No. RITARS-12-H-UVM

## Quarterly Progress Report #1

December 1, 2012 through March 31, 2013



The  
UNIVERSITY  
of VERMONT

TRANSPORTATION RESEARCH CENTER



University of Vermont  
Spatial Analysis Lab



United States  
Department of Transportation

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## Glossary

3D	Three Dimensional
AASHTO	American Association of State Highway Transportation Officials
CAD	Computer-Aided Design
CNL	Cognition Network Language
COA	Certificate of Authorization
CRS	Commercial Remote Sensing
DOT	Department of Transportation
FAA	Federal Aviation Administration
FEMA	Federal Emergency Management Agency
GIS	Geographic Information Systems
HDSS	Hazard Data Distribution System
ICS	Incident Command System
LiDAR	Light Detection and Ranging
NAIP	National Agricultural Imagery Program
NIMS	National Incident Management System
NOAA	National Oceanic and Atmospheric Administration
OBIA	Object-Based Image Analysis
OGC	Open Geospatial Consortium
PI	Principal Investigator
PM	Program Manager
RiP	Research in Progress database
RITA	Research and Innovative Technology Administration
SAFETEA-LU	Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users
SAL	Spatial Analysis Laboratory (University of Vermont)
SI	Spatial Information
TAC	Technical Advisory Committee
TRC	Transportation Research Center
UAV	Unmanned Aerial Vehicles
USDOT	United States Department of Transportation
USGS	United States Geological Survey
UVM	University of Vermont
VAOT	Vermont Agency of Transportation (also known as Vtrans)
VTrans	Vermont Agency of Transportation (also known as VAOT)
XML	eXtensible Markup Language

## Executive Summary

Natural disasters can severely impact transportation networks. In the hours and days following a major flooding event, knowing the location and extent of the damage is crucial for incident managers for a number of reasons: it allows for emergency vehicle access to affected areas; it facilitates the efficient rerouting of traffic; it raises the quality and reduces the cost of repairs; and it allows repairs to be completed faster, in turn reducing the duration of costly detours. Commercial Remote Sensing (CRS) imagery is increasingly being used in disaster response and recovery, but the ability to acquire CRS data far surpasses the ability to extract actionable information from it. An automated approach to damage assessment is needed, but traditional automated image analysis techniques are inadequate for identifying or characterizing transportation infrastructure damage from high-resolution CRS imagery. Furthermore, new CRS technologies, such as Unmanned Aerial Vehicles (UAV) provide an novel approach to gathering imagery during a crisis in which traditional satellite and aerial systems are either cost prohibitive, ineffective, or unresponsive. We propose a project with two objectives: 1) to develop, calibrate and deploy a decision support system capable of identifying road and bridge damage from high-resolution commercial satellite images and; b) to estimate the amount and type of fill material required for repairs using digital surface models derived from lightweight Unmanned Aerial Vehicles (UAV) programmed to fly over damage road segments. This approach would employ state-of-the-art, object-based image analysis techniques, cost-based image matching, and other advanced computing techniques. We also propose to collaborate with state departments of transportation to develop a web-based interface to share information derived from CRS Imagery.

## Technical Status

### Task 1 - Creation of a Technical Advisory Committee

*We will recruit a committee of relevant professional (e.g. state DOT representatives, academics) near the outset of the project to advise on project activities. A full description of the project tasks can be found in Section 2 of the Cooperative Agreement.*

Output/Deliverables: The Advisory Board comprised of 6 to 8 members will provide guidance in specific technical and policy recommendations that the team would take into consideration for implementation. Notes will be taken at each meeting and provided to members as a brief summary report.

Accomplishments:

*Provide a clear and complete account of work performed on each task and its relationship to task objectives and milestones.*

- Create a Technical Advisory Committee (TAC)
  - The Advisory Committee is comprised of the following individuals:
    - Guy Rouelle, Aviation Program Administrator, Vermont Agency of Transportation (VTrans)
    - Stephanie Magnan, Asset Management Specialist, VTrans
    - Wayne Gammell, Maintenance Administrator, VTrans
    - Johnathan Croft, GIS Database Administrator, VTrans
    - Zach Borst, Vermont Emergency Management (VEM) Regional Outreach Coordinator
    - Michele Boomhower, Chittenden County Regional Planning Commission (CCRPC) Assistant/Metropolitan Planning Organization (MPO) Director
    - Christopher Jolly, Planning & Programming Engineer, Federal Highway Association (FHWA) - Vermont Division
    - Roger Thompson, ITS/Safety Engineer, FHWA - Vermont Division
    - Charles Hebson, Manager of Surface Water Resources, Maine Department of Transportation (DOT)
  - The Advisory Committee met on 3/19/13. Meeting Minutes are attached as Appendix A.

Problems Encountered:

*Describe any problems encountered or anticipated that will affect the completion of the agreement within the time and fiscal constraints as set forth in the agreement, together with recommended solutions to such problems, or a statement that no problems were encountered.*

- FHWA representatives Chris Jolly and Roger Thompson will be splitting the responsibilities for a TAC Committee Member from FHWA. (Contract suggests 6-8 TAC members, but we have 9)

**Future Plans:**

*Discuss work planned for the next period and its relationship to the present period. Provide an outline of the work to be accomplished during the next report.*

- The TAC will meet in person or by video conference twice per year or on an as needed basis. Notes will be taken at each meeting and provided to members as a brief summary report.
- If the Program Manager (PM) decides it is necessary to include an industry representative on the TAC, we will continue to search for one. For now, the PM has approved the TAC as is.

**Schedule:**

*Highlight any changes to the schedule as previously reported.*

- No changes to the schedule are anticipated.

**Effort Expended:**

*Effort expended by task for all staff categories must be reported.*

Employee Name/Labor Category	Budgeted Hours	Year 1 (hours)				Cummulative (hours)
		Quarter 1	Quarter 2	Quarter 3	Quarter 4	
Austin Troy	200	42.38				42.38
Jarlath O'Neil Dunne	50	30				30
Ernest Buford	0					0
Amanda Hanaway	180	16				16
Sean MacFadden	0					0
James Sullivan	24	6				6
Technician	0					0

**Task 2 - Creation of a project website**

*We will create a project website which will stay in operation throughout the duration of the project and will help to organize, centralize, and disseminate information from the project.*

Output/Deliverables: A project web site will be created on the University of Vermont domain (www.uvm.edu) containing a password protected section for internal documents and data products that have access/use restrictions associated with them (e.g. commercial satellite imagery) as well as access to up-to-date documents deemed suitable for the public domain.

Accomplishments:

*Provide a clear and complete account of work performed on each task and its relationship to task objectives and milestones.*

- Create a project website
  - The project website has been created and can be found here: <http://bit.ly/11ZzJmA>
  - The website includes a link to a white paper technical document outlining the role in which CRS satellite imagery plays in disaster response and recovery targeted at state transportation departments. <http://bit.ly/10Wdeeq>
  - The website includes a link to a video showing users how to download CRS satellite imagery from the USGS Hazard Data Distribution System. <http://bit.ly/16Vuvrx>
- Update TRB RiP Database
  - This project has been added to the Transportation Research Board Research in Progress database.
- Acquiring software – We have acquired the OBIA software required for this project.
- Software installation – We installed the OBIA software and carried out extensive testing.
- Recruited an undergraduate assistant – Adam Zykala will serve as a technician on the project in addition to carrying out research into using UAV imagery for volume estimation for his undergraduate thesis.

Problems Encountered:

*Describe any problems encountered or anticipated that will affect the completion of the agreement within the time and fiscal constraints as set forth in the agreement, together with recommended solutions to such problems, or a statement that no problems were encountered.*

- Create a project website – No problems were encountered.
- Update TRB RiP Database – No problems were encountered.

- Software – No problems were encountered with the OBIA software (eCognition). We did have a delay in receiving the Inpho software from Trimble, which we expect to have resolved within two weeks. This delay will have no impact on operations.
- UAV purchase – We did extensive research into commercial UAVs and selected six companies for detailed analysis. The evaluation of the offerings provided by these companies took longer than expected, but we have now settled on a model (Sensefly's EBEE) and have initiated the purchase. A white paper describing lessons learned from this process is included in Appendix B.
- Computer hardware – We did not purchase the laptop to be used for the UAV operations, as we did not have the UAV selected. Now that we have the UAV selected we have initiated the purchase for a laptop.

#### Future Plans:

*Discuss work planned for the next period and its relationship to the present period. Provide an outline of the work to be accomplished during the next report.*

- Project website
  - Update the website by uploading and linking TAC meeting minutes and Quarterly Reports, as well as any other necessary upgrades and updates.
- Data acquisition
  - Obtain pre- and post-damage imagery for an area outside of Vermont (e.g. Superstorm Sandy).

#### Schedule:

*Highlight any changes to the schedule as previously reported.*

- We do not anticipate any schedule changes.

#### Effort Expended:

*Effort expended by task for all staff categories must be reported.*

Employee Name/Labor Category	Budgeted Hours	Year 1 (hours)				Cummulative (hours)
		Quarter 1	Quarter 2	Quarter 3	Quarter 4	
Austin Troy	42	11.25				11.25
Jarlath O'Neil Dunne	16	9				9
Ernest Buford	6					0
Amanda Hanaway	76	32.75				32.75
Sean MacFadden	0					0
James Sullivan	36	18.38				18.38
Technician	0					0



### **Task 3 - Damage detection system methods development**

*Design, develop, deploy, and validate a decision support system that automates the detection of post-event damage to roads from CRS satellite imagery and provides actionable information to incident commanders.*

Output/Deliverables: We will develop, validate, and accurately assess a methodology for automating the identification of large road damage. This methodology will result in the development of a "knowledge base" of expert classification rules that remote sensing technicians can then reuse in other location. This knowledge base will be made available on our website along with documentation and tutorials on using it (see Task 6). We will also create and post an ESRI geoprocessing utility or standalone utility that extracts the geographic coordinates of the center of each damage polygon and then sends that coordinate to a web server (see Task 5).

#### Accomplishments:

*Provide a clear and complete account of work performed on each task and its relationship to task objectives and milestones.*

- Damage detection system design
  - Prototyping of automated damage detection on pre- and post-Hurricane Irene image scenes.

#### Problems Encountered:

*Describe any problems encountered or anticipated that will affect the completion of the agreement within the time and fiscal constraints as set forth in the agreement, together with recommended solutions to such problems, or a statement that no problems were encountered.*

- No problems were encountered.

#### Future Plans:

*Discuss work planned for the next period and its relationship to the present period. Provide an outline of the work to be accomplished during the next report.*

- Finalize design of the damage detection system.

#### Schedule:

*Highlight any changes to the schedule as previously reported.*

- We do not anticipate any schedule changes.

**Effort Expended:**

*Effort expended by task for all staff categories must be reported.*

Employee Name/Labor Category	Budgeted Hours	Year 1 (hours)				Cummulative (hours)
		Quarter 1	Quarter 2	Quarter 3	Quarter 4	
Austin Troy	42					0
Jarlath O'Neil Dunne	30					0
Ernest Buford	0					0
Amanda Hanaway	170					0
Sean MacFadden	1191	325				325
James Sullivan	30					0
Technician	0					0

Note: The labor hours for Sean MacFadden were listed incorrectly in the contract. To correct this error, we moved his hours from Task 4 to Task 3, Task 5 to Task 4, and Task 6 to Task 5. All the tables in this report reflect this correction.

**Task 4 - Fill calculation system methods development**

*Design, develop, deploy, and validate a decision support system that uses CRS Unmanned Aerial Vehicles (UAV) to estimating the amount and type of fill material needed to fill damaged areas.*

Output/Deliverables: We will develop, validate, accurately assess and document a methodology for automating the calculation of the quantity of fill by type for road damage voids caused by flooding. We will produce a technical document and tutorial that outlines this methodology (see Task 6). We will also produce and make available an ESRI geoprocessing tool capable of performing the fill calculations.

Accomplishments:

*Provide a clear and complete account of work performed on each task and its relationship to task objectives and milestones.*

- No items related to this task were slated for this quarter.

Problems Encountered:

*Describe any problems encountered or anticipated that will affect the completion of the agreement within the time and fiscal constraints as set forth in the agreement, together with recommended solutions to such problems, or a statement that no problems were encountered.*

Future Plans:

*Discuss work planned for the next period and its relationship to the present period. Provide an outline of the work to be accomplished during the next report.*

- UAV flight testing
- Fill estimation decision support design

Schedule:

*Highlight any changes to the schedule as previously reported.*

Effort Expended:

*Effort expended by task for all staff categories must be reported.*

Employee Name/Labor Category	Budgeted Hours	Year 1 (hours)				Cummulative (hours)
		Quarter 1	Quarter 2	Quarter 3	Quarter 4	
Austin Troy	20					0
Jarlath O'Neil Dunne	295					0
Ernest Buford	226					0
Amanda Hanaway	49					0
Sean MacFadden	366.9					0
James Sullivan	40					0
Technician	50					0

**Task 5 - Development of web portal decision support tool**

*Develop web-based decision support tools and GIS data layers, and disseminates information on road damage via social media.*

Output/Deliverables: Outputs will include development of a front-end website prototype on our own servers which will pull data from Google Fusion Tables, which is a cloud-based platform. We will then work with our VTrans partners to make these data sets and web resources available to them so that they can freely integrate them into their online information systems. We will document the process of developing the portal and will write up manuals for both users and for website administrators.

Accomplishments:

*Provide a clear and complete account of work performed on each task and its relationship to task objectives and milestones.*

- No progress slated for this quarter.

Problems Encountered:

*Describe any problems encountered or anticipated that will affect the completion of the agreement within the time and fiscal constraints as set forth in the agreement, together with recommended solutions to such problems, or a statement that no problems were encountered.*

Future Plans:

*Discuss work planned for the next period and its relationship to the present period. Provide an outline of the work to be accomplished during the next report.*

- No plans on this task are slated for next quarter.

Schedule:

*Highlight any changes to the schedule as previously reported.*

Effort Expended:

*Effort expended by task for all staff categories must be reported.*

Employee Name/Labor Category	Budgeted Hours	Year 1 (hours)				Cummulative (hours)
		Quarter 1	Quarter 2	Quarter 3	Quarter 4	
Austin Troy	24.5					0
Jarlath O'Neil Dunne	157					0
Ernest Buford	158.02					0
Amanda Hanaway	40					0
Sean MacFadden	197.08					0
James Sullivan	16					0
Technician	880					0

## **Task 6 - Project outreach and communication**

*Make the methods and technologies developed in this project to be easily transferable to other state DOTs.*

Output/Deliverables: We will complete, make available and disseminate all outreach materials. For the damage-detection methodology, this will include our knowledge base of classification/detection rules, which can then be ported and reused in object-based image-classification software using different imagery, as well as a detailed methodological document and video tutorial that will assist technicians in replicating this system. For the fill calculation task, it will include the ArcGIS geoprocessing tool files and user manual, a methodological document, and a set of video tutorials. For the decision support portal development, we will include a methodological document about setting up the interface and serving the data from Google Fusion Tables, as well as guides for users and administrators. We will hold a focus group meeting with select partners to get feedback on our outputs and determine what additional information or clarification may be needed for subsequent adopters to make use of the project's methods. We will also follow up with VTrans and, if applicable, other New England DOTs, to determine if and how the methods we developed were actually employed and what improvements could potentially be made. Finally, we will write a final report (draft and revised versions), give presentations on the project at professional meetings and prepare manuscripts on the project for publication.

### Accomplishments:

*Provide a clear and complete account of work performed on each task and its relationship to task objectives and milestones.*

- No progress slated for this quarter.

### Problems Encountered:

*Describe any problems encountered or anticipated that will affect the completion of the agreement within the time and fiscal constraints as set forth in the agreement, together with recommended solutions to such problems, or a statement that no problems were encountered.*

### Future Plans:

*Discuss work planned for the next period and its relationship to the present period. Provide an outline of the work to be accomplished during the next report.*

- No plans on this task are slated for next quarter.

### Schedule:

*Highlight any changes to the schedule as previously reported.*

Effort Expended:

*Effort expended by task for all staff categories must be reported.*

Employee Name/Labor Category	Budgeted Hours	Year 1 (hours)				Cummulative (hours)
		Quarter 1	Quarter 2	Quarter 3	Quarter 4	
Austin Troy	100.5					0
Jarlath O'Neil Dunne	78.18					0
Ernest Buford	0					0
Amanda Hanaway	69.99					0
Sean MacFadden	0					0
James Sullivan	165.98					0
Technician	70					0

## Business Status

### Labor-Hours Expended for the Program

Provide a tabulation of the planned, actual and cumulative labor-hours expended for the program.

Employee Name/Labor Category	Total Budgeted Hours	Year 1 (hours)				Cummulative (hours)
		Quarter 1	Quarter 2	Quarter 3	Quarter 4	
Austin Troy	429.00	53.63	0	0	0	53.63
Jarlath O'Neil Dunne	626.18	39	0	0	0	39
Ernest Buford	390.02	0	0	0	0	0
Amanda Hanaway	584.99	48.75	0	0	0	48.75
Sean MacFadden	1,754.98	325	0	0	0	325
James Sullivan	311.98	24.38	0	0	0	24.38
Technician	1,000.00	0	0	0	0	0

### Funds Expended for the Program

Provide a chart showing current and cumulative expenditures versus planned expenditures

Employee Name/Labor Category	Total Invoiced for Salary	Year 1 (Invoiced Salary)				Cummulative (Invoiced Salary)
		Quarter 1	Quarter 2	Quarter 3	Quarter 4	
Austin Troy - Regular	\$50,816.24	-				\$0.00
Austin Troy - Cost Share		\$6,258.42				\$6,258.42
Jarlath O'Neil Dunne - Regular	\$50,013.61	-				\$0.00
Jarlath O'Neil Dunne - Cost Share		\$3,038.10				\$3,038.10
Ernest Buford	\$22,470.35	\$0.00				\$0.00
Amanda Hanaway	\$43,808.73	\$3,596.78				\$3,596.78
Sean MacFadden	\$98,881.57	\$19,891.95				\$19,891.95
James Sullivan	\$23,559.89	\$1,813.91				\$1,813.91
Technician	\$19,891.05	\$0.00				\$0.00
<b>Total</b>	<b>\$309,441.45</b>	<b>\$34,599.15</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$34,599.15</b>
Cost Share:	\$382,630.00	\$9,296.52				\$9,296.52
Invoiced:	\$371,750.00	\$25,302.63				\$25,302.63
<b>Total:</b>	<b>\$754,380.00</b>	<b>\$34,599.15</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$34,599.15</b>

Note: We do not have any non-salary expenditures in the first quarter.

## Advisory Committee Meetings

### List of Advisory Committee Meetings to Date:

- 3/19/2013 Meeting. Meeting minutes are attached in Appendix A. Also, the meeting was recorded using GoToMeeting software. A link to the recording can be found on the project website: <http://bit.ly/11ZzJmA>

## **Quarterly Report Submission Timeline**

*If the submission due date is a holiday/weekend please ensure that the submission is made by the subsequent business day. Deliverables covering partial periods of performance up to one month will be rolled over into the subsequent quarterly progress report.*

- Quarterly Report for Period covering January 01 to March 31 is due by April 15
- Quarterly Report for Period covering April 01 to June 30 is due by July 15
- Quarterly Report for Period covering July 01 to Sept. 30 is due by October 15
- Quarterly Report for Period covering October 01 to December 31 is due by January 15

## **Appendix**

Appendix A – Technical Advisory Committee Meeting Minutes

Appendix B – Purchasing and Flying UAVs in Vermont: Lessons Learned (so far)



Appendix A  
Technical Advisory Committee  
Meeting Minutes

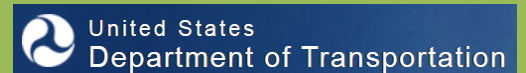
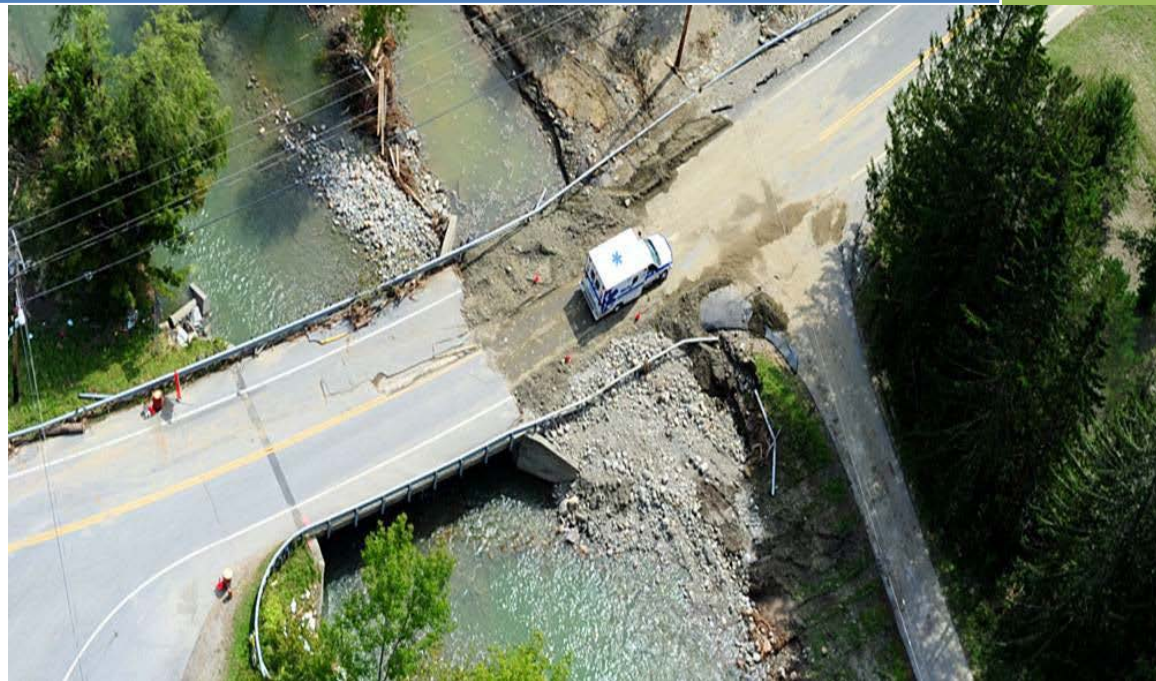
# Rapid Exploitation of Commercial Remotely Sensed Imagery for Disaster Response & Recovery

Principal Investigator: Austin Troy  
Program Manager: Caesar Singh

COOPERATIVE AGREEMENT  
No. RITARS-12-H-UVM

## Advisory Committee Meeting

March 19<sup>th</sup>, 2013



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## Glossary

3D	Three Dimensional
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NAIP	National Agricultural Imagery Program
NIMS	National Incident Management System
NOAA	National Oceanic and Atmospheric Administration
OBIA	Object-Based Image Analysis
OGC	Open Geospatial Consortium
PI	Principal Investigator
PM	Program Manager
RiP	Research in Progress database
RITA	Research and Innovative Technology Administration
SAFETEA-LU	Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users
SAL	Spatial Analysis Lab
SI	Spatial Information
TAC	Technical Advisory Committee
TRC	Transportation Research Center
UAV	Unmanned Aerial Vehicles
USDOT	United States Department of Transportation
USGS	United States Geological Survey
UVM	University of Vermont
VAOT	Vermont Agency of Transportation (also known as Vtrans)
Vtrans	Vermont Agency of Transportation (also known as VAOT)
XML	eXtensible Markup Language

## Meeting Details

**Date:** March 19<sup>th</sup>, 2013

**Time:** 1:00pm, EST

## Attendance

### Project Team:

- ✓ Caesar Singh, Program Manager (PM), US DOT
- ✓ Austin Troy, PhD, Director of the UVM TRC
- ✓ Jarlath O'Neil-Dunne, Faculty Research Associate and Director of the UVM SAL
- ✓ Amanda Hanaway-Corrente, Professional Engineer (PE) at UVM
- ✓ James Sullivan, Research Analyst at UVM TRC
- ✓ Sean MacFaden, Research Specialist at UVM SAL
- ✓ Ernest Buford, Research Specialist at UVM SAL
- ✓ Jacob Leopold, UVM TRC Business Manager

### Technical Advisory Committee:

- ✓ Guy Rouelle, Aviation Program Administrator, VTrans
- ✓ Stephanie Magnan, Asset Management Specialist, VTrans
- ✓ Wayne Gammell, Maintenance Administrator, VTrans
- ✓ Johnathan Croft, GIS Database Administrator, VTrans
- ✓ Zach Borst, VEM Regional Outreach Coordinator
- ✓ Michele Boomhower, CCRPC Assistant/MPO Director
- ✓ Christopher Jolly, Planning & Programming Engineer, FHWA - VT Division
- ✓ Roger Thompson, ITS/Safety Engineer, FHWA - VT Division
- ✓ Charles Hebson, Manager of Surface Water Resources, Maine DOT

## Discussion Items

1. **Thank You.** Austin Troy thanked TAC Members for volunteering to advise this project.
2. **Project Overview.** Austin Troy provided a high level description of the project for the new TAC members. A detailed description of the project is provided on our website using the “Technical Proposal” link. (website: [http://www.uvm.edu/~transctr/?Page=research/projects/rapid\\_exploitation\\_of\\_CRS\\_SI\\_for\\_disaster\\_response\\_and\\_recovery.php](http://www.uvm.edu/~transctr/?Page=research/projects/rapid_exploitation_of_CRS_SI_for_disaster_response_and_recovery.php) )
3. **Brief Description of TAC.** Task 1 for the project was to create a TAC. Austin Troy described the requirements of this task, including who was invited to serve on the TAC and why. A detailed description of the tasks for this project is provided on our website using the “Task List” link.
4. **Brief Description of CSR Imagery.** Jarlath O’Neil-Dunne provided a high level description of the Commercial Remotely Sensed Imagery that would be needed for the project and it’s availability with respect to the International Charter. A detailed description of how to obtain CRS data is provided on our website using the “White Paper on Commercial Remotely Sensed (CRS) Data” link.
5. **Field Testing.** The TAC initiated the planning process for scheduling field testing by discussing upcoming construction projects in the Spring and Summer of 2013. The plan is as follows:
  - Scenario A:
    - Calibration over Franklin County Airport in June 2013
    - Phase I testing over Irene reconstruction projects in July and August of 2013
    - Phase II testing over select reconstruction projects in the summer of 2014, if need be.
  - Scenario B
    - Calibration and testing to occur in Spring and Summer of 2014

Notes about the construction projects and field testing:

- Projects. Wayne Gammell, VTrans, explained that several projects are planned to re-construct Irene damaged roadways in 2013 and 2014.
- Holes. These projects will involve digging up banks and roadways to a depth that could range from 10-20 feet.
- Timing. The holes could be dug up for one day or for several days/weeks, depending on the project and the size of the hole. UVM will need to closely coordinate with VTrans to find areas which are dug up for multiple days of UAV testing.
- Advance Notice. Guy Rouelle said he may be able to obtain clearances with only 2 days’ notice. A general rule of thumb, however, is that he will need 1 weeks advanced notice for airport calibration flights and 2 weeks advanced notice for roadway testing flights. The more information we

could provide ahead of time, the better. We will need to submit a Mission Profile, including but not limited to:

- UAV departure point,
  - route of flight,
  - altitude,
  - duration of flight,
  - preprogrammed profile or remotely controlled
- Restricted Airspace. The general rule of thumb is that the road segments selected for testing should be 5 or more miles away from airports and Camp Johnson. However, different airports have different requirements, and some additional restricted airspace exists within Vermont.
  - Flight Altitude. If the UAV flies at 399ft. or below, no FAA clearance is needed.

**Action Items:** Stephanie Magnan, VTrans, to send UVM a list of projects planned for 2013 and 2014, with a brief description of the scope of work involved in the project.

- Once UVM has a list of planned projects, they will work with Guy Rouelle, VTrans, to identify the projects which are outside of restricted airspace. Once these projects are identified, UVM will create flight plans for Guy Rouelle's review and begin the approval process.

6. **Task Updates.** Below is a list of tasks that have been started and/or completed thus far:

- Task 1 - Creation of a Technical Advisory Committee. The TAC was created and approved by the Program Manager.
- Task 2A - Creation of a project website. The project website has been created and can be found at [http://www.uvm.edu/~transctr/?Page=research/projects/rapid\\_exploitation\\_of\\_CRSI\\_for\\_disaster\\_response\\_and\\_recovery.php](http://www.uvm.edu/~transctr/?Page=research/projects/rapid_exploitation_of_CRSI_for_disaster_response_and_recovery.php)
- Task 2B – Obtain Software and Equipment. Originally, UVM was looking to purchase UAVs through GeoEye. However, they required a Certificate of Authorization, which can only be granted to State Agencies. Since UVM is not a State Agency, other UAV vendors were researched. The team selected the senseFly eBee. Jarlath O'Neil-Dinne is flying to Washington, D.C. next week to evaluate the product. The following questions were brought up at this TAC meeting, and Jarlath will address these question during his visit to senseFly:
  - How does weather affect the flights and data collection?
  - Will the drastic elevation changes in Vermont be an issue?
  - What self-correction options are included?

## Next Meeting

**Date:** TBD. The next meeting will be scheduled for May/June, after the UAVs have been purchased and the project team has been trained on how to use it.

**Time:** TBD.



Appendix B  
Purchasing and Flying UAVs in Vermont:  
Lessons Learned (so far)

# Flying UAVs in Vermont: Lessons Learned

The University of Vermont (UVM) is currently undergoing a research project funded by USDOT entitled “Rapid Exploitation of Commercial Remotely Sensed Imagery for Disaster Response & Recovery”. This is the first university research project in the State of Vermont which will use Unmanned Aerial Vehicles (UAVs). To assist in future UAV projects, we have compiled a list of lessons learned about purchasing and flying UAVs in Vermont. This list will be updated as the project progresses.

- **Certificate of Authorization (COA).** Some UAV vendors (e.g. Gatewing) will only sell to organizations that can obtain a COA. The COAs can only be issued to public agencies. Some public colleges and universities within the United States are considered public agencies, but for various legal reasons UVM is not considered a public agency. Opinions on whether or not COAs are required for university research differed among the other universities we spoke with who operate UAVs. Some have obtained COAs, some believe that no COA is required as they are operating under 400ft, and others work with their state transportation agency to secure cleared airspace. We decided to purchase a UAV that did not require a COA.
- **Authorization for Flights.** All UAV flights will need to be scheduled and approved by Guy Rouelle at the Vermont Agency of Transportation.
  - Step One: Guy Rouelle at the Vermont Agency of Transportation should be contacted at the onset of the project. He should be provided with a project summary and scope of work. Flight will require a Mission Profile and during this initial conversation Mr. Rouelle will tell you exactly what information to include in the Mission Profile and how far in advance to submit it. Also, depending on where you want to fly and at what altitude, you may need a Certificate of Authorization (COA) for the flight. If your organization is not an Agency of the State, we recommend that you choose flight paths that are outside of restricted airspace and below 400ft AGL to avoid the COA requirement. Confirm with Mr. Rouelle as to whether or not your project will require a COA.
  - Step Two: Send a Mission Profile to Guy Rouelle at least 1-2 weeks ahead of the schedule flight. The more information you can provide ahead of time, the better. The Mission Statement should include:
    - UAV departure point,
    - route of flight,
    - altitude,
    - duration of flight,
    - preprogrammed profile or remotely controlled
  - Step Three: Guy Rouelle will contact FAA and Portland FSDO, if necessary. Also, Mr. Rouelle will obtain a Special Airworthiness Certificate (SAC), if necessary.
- **Restricted Airspace.** The general rule of thumb is that UAVs should be flown 5 or more miles away from designated airports and Camp Johnson. However, different airports have different requirements, and some additional restricted airspace exists within Vermont. Work with Guy Rouelle when selecting a flight location.
- **Flight Altitude.** The UAV should be operated below 400ft AGL to avoid the need for FAA clearance.