

**University of Vermont**  
**Sponsored Programs News**

**From the Office of Sponsored Programs**

**Pre-Award Services**

**231 Rowell Building, 656-4067**  
**340 Waterman Building, 656-3360**

**Research Protections Office**

**245 South Park, Colchester**  
**656-5040**



**Welcome from  
Domenico Grasso,  
Vice President for  
Research and Dean of  
the Graduate College**

It is a truly an honor to have been appointed the new Vice President for Research and Dean of the Graduate College for the University of Vermont. As you may know, I come to this position with a vision for building upon -- and focusing -- our excellence in research, graduate education, undergraduate research opportunities, technology transfer and commercialization, strategic partnerships, and service to Vermont and the nation. I am confident this can be done, especially with the support of an exceptional Sponsored Programs team and the valued, dedicated leadership of Associate Vice President Ruth Farrell.

The University of Vermont is a unique and special place, with world-class faculty and staff expertise throughout its disciplines. UVM's greatest opportunity lies in its efforts to bridge those disciplines on our campus creating new and relevant knowledge which will positively impact the complex, 21st century issues we face and at the same time provide a truly exceptional education at our small, premier public research university.

I am lucky to have an incredible team working with me that includes not only OSP, but multiple university-wide Centers, university

research support facilities, and our Office of Technology and Commercialization.

If I have not yet had a chance to meet you in person, I hope you will feel free to drop me an e-mail or stop by my office in 330 Waterman. Appointments may also be made with my Executive Assistant, Kristin Camp (6-2918).

I wish you all an excellent year ahead and look forward to working with you as we invest and grow our excellent research enterprise.

**About Sponsored Programs News**

This newsletter is a publication of the University of Vermont, Office of Sponsored Programs. It is issued periodically throughout the academic year to provide grant-seekers information about potential sponsors, agency updates, grant policy changes, and University proposal submissions and awards.

Questions or comments should be directed to the editor: Hilda Alajajian, Grants Resources Specialist, [Hilda.Alajajian@uvm.edu](mailto:Hilda.Alajajian@uvm.edu).

**New faces**

OSP is very pleased to introduce several new faces in our office eager to serve the UVM grant community.

Nicholas Brightman joined our staff in April to fill a Research Administrator position in our Rowell office. Nick came to us with almost

eight years of experience as a research administrator at a non-profit in the Boston area.

Debbie Fletcher (formerly Debbie Stevens-Tuttle) came on board as a Research Administrator in May. She has worked at the University since 2001, most recently in the Department of Neurology. Debbie is also located in our Rowell office.

Stacie Marshall is the most recently welcomed Pre-award staff member having begun her responsibilities on September 1<sup>st</sup> as the Data Manager in the Waterman office. For the past five years she has worked with grants at the Vermont Community Foundation.

Julie Macy is not a new face in OSP but might be new to some of you from the non-medical side of campus. She gave up her office in Rowell to Nick and is a Research Administrator in Waterman for CALS and CAS faculty.

For a directory of all OSP staff members and their assignments see our homepage Contacts. [http://www.uvm.edu/~ospuvvm/?Page=OSP\\_Main\\_Menu/staff1.htm](http://www.uvm.edu/~ospuvvm/?Page=OSP_Main_Menu/staff1.htm)

### **ARRA awards update**

Our office has facilitated the submission of over 180 ARRA proposals since March when the Recovery and Reinvestment Act (ARRA) grant competitions opened. As of September 4th UVM has received 32 award notices from the National Institutes of Health, the National Science Foundation and the State of Vermont totaling over \$10 million dollars of Recovery Act funds. It should be noted that not all of the ARRA awards were ARRA submissions. Some agencies, like NSF, decided to use the Recovery money to fund meritorious proposals that were either already in the pipeline or originally declined. A weekly update of these UVM ARRA funded awards may be found on the OSP homepage in two places: under the American Recovery and Reinvestment Act

2009 tab or under the Report tab.

<http://www.uvm.edu/~ospuvvm/Reports/ARRAAwards.pdf>

### **GRANTSMANSHIP TIP**

Where's the best place to look for Recovery Act funding opportunities??... You'll be glad to know right along with the Agency alert notices to which you already (hopefully) subscribe relevant to your research interests: Weekly NIH Guide, NSF Update as well as Grants.gov postings. For help contact the Grant Resources Specialist at 656-3360.

### **Policy & procedure**

**ARRA reporting requirements.** Principal Investigators with ARRA funded awards will be responsible for complying with many new and unique reporting requirements, both financial and programmatic in nature, and this data will be available to the public at [www.recovery.gov](http://www.recovery.gov). The quarterly reports have strict deadlines and will require significant collaboration between principal investigators, department administrative staff, Grant and Contract Administrative Services (GCA), and award subrecipients. The first reports, through the quarter ending September 30, 2009, are due on October 10, 2009. GCA has developed a workbook for principal investigators to provide the required project data elements to GCA for inclusion in the quarterly reports; examples of these data elements are project completion status, project description, and the number and narrative description of jobs created and retained with ARRA funds. Detailed instructions will soon be posted on the ARRA website to ensure all ARRA reporting deadlines are successfully met.

[http://www.uvm.edu/~ospuvvm/?Page=Research\\_ARRA.html](http://www.uvm.edu/~ospuvvm/?Page=Research_ARRA.html)

*The OSP Quick Compliance Guide* has been updated and is available on our website. The guide touches on thirteen compliance requirements and is designed to be a quick reference tool to help researchers insure that all necessary compliance requirements of a sponsored project are met.  
[http://www.uvm.edu/~ospuvmp/OSP\\_Main\\_Menu/ComplianceGuide.pdf](http://www.uvm.edu/~ospuvmp/OSP_Main_Menu/ComplianceGuide.pdf)

## **FY 2009 report of sponsored programs**

The FY 2009 report of grants and contracts received is now available at [http://www.uvm.edu/~ospuvmp/Reports/AnnualReports/AnnualReportFY09/sum\\_ann09.xls](http://www.uvm.edu/~ospuvmp/Reports/AnnualReports/AnnualReportFY09/sum_ann09.xls). At this link you will find summary tables and charts describing awards received as well as a listing of all awards by academic unit. FY '09 proved to be a record-breaking year, with more than \$133 million dollars in grants and contracts. This is a nine percent increase over the FY '08 total of \$122 million. Our faculty are to be commended for their creative excellence and congratulated for their efforts and success. While the numbers are impressive, it is the outstanding research outcomes and excellent service and instructional programs that these numbers represent that are most important. OSP, both its Pre-Award Services Unit and Research Protections Office, are pleased to have had a role in facilitating this impressive level of activity.

## **Agency updates**

Effective August 8, 2009 all *National Institutes of Health (NIH)* National Research Service Award (NRSA) Fellowship (F-Series) applications must be submitted electronically through Grants.gov <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-106.html>. Applicants should note the specific instructions in the *SF424 (R&R) Individual Fellowship Application Guide* for referees who are submitting reference letters on their behalf. NIH has issued an alert for Fellows and their

referees to be sure to use the required form rather than a scanned text attachment and to indicate their Sponsor correctly in the Profile – Senior/Key Personnel.

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-144.html>

NIH Investigators who meet the requirements for Early Stage Investigator status are reminded to update their eRA Commons Personal Profile to include degree and residency completion dates. This is entirely separate from updating information in InfoEd (UVM's system to system interface for NIH electronic proposal submission). The two systems do not automatically update each other.

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-134.html>

Just a reminder that NIH grantees can access their Notices of Award by logging into their eRa Commons profiles at:

<https://commons.era.nih.gov/commons/>

NSPIRES is the *National Aeronautics and Space Administration's* electronic proposal submission system. Effective August 8, 2009, NSPIRES will require that all proposal team members regardless of their role on the proposal, confirm an organizational relationship, i.e., identify the organization through which they are participating in a given proposal. [http://nspires.nasaprs.com/tutorials/UG\\_TM\\_Confirm.pdf](http://nspires.nasaprs.com/tutorials/UG_TM_Confirm.pdf)

Effective January 4, 2010, the *National Science Foundation* (NSF) will require that institutions have a plan to provide appropriate training and oversight in the responsible and ethical conduct of research (RCR) to undergraduates, graduate students, and postdoctoral researchers who will be supported by NSF to conduct research. While training plans are not required to be included in proposals submitted to NSF, institutions are advised that they are subject to review upon request. NSF will formally implement the new RCR requirement via an update to the NSF Proposal and Award Policies and Procedures

Guide (PAPPG), most likely on October 1, 2009. <http://edocket.access.gpo.gov/2009/pdf/E9-19930.pdf>  
To meet this new requirement, UVM is implementing the Collaborative Institutional Training Initiative (CITI). See below

### **Responsible Conduct of Research (RCR) instructional modules**

We are pleased to announce that the University has subscribed to the Institutional Training Initiative (CITI) discipline-specific online educational modules in the responsible conduct of research. These modules provide a comprehensive basic course in RCR. Users may choose biomedical, social & behavioral research, physical sciences, or humanities content. The modules are also flexible enough that classroom instructors of research methods and related courses can use this online tutorial to supplement their existing course material.

Each topic area, listed below, consists of an introduction, basic foundation text, imbedded case studies, and quiz questions.

- Introduction to the Responsible Conduct of Research
- Research Misconduct
- Data Acquisition and Management
- Responsible Authorship
- Peer Review Mentoring
- Conflicts of Interest
- Collaborative Research

The CITI modules allow individuals to document their completion of the training, thus providing a record that will satisfy the requirements for NSF's new requirement for such training for NSF-supported post-docs and graduate students. A link to the CITI modules is now under construction and can be found on the re-designed RCR section of OSP's website, [http://www.uvm.edu/~ospvum/?Page=OSP\\_Main\\_Menu/PIRCoR.htm](http://www.uvm.edu/~ospvum/?Page=OSP_Main_Menu/PIRCoR.htm)

### **News from OSP's Research Research Protections Office (RPO)**

Beginning October 1st the Institutional Animal Care and Use Committee (IACUC) will require that investigators submit their protocols electronically in a portable document format (PDF). An email notice will be sent to IACUC investigators and a revised forms page will be uploaded a few weeks prior to the October start date to make investigators aware of this new requirement. The forms page will indicate what will be required for each type of protocol submission going forward. Investigators should feel an immediate reduction in effort as multiple paper copies of protocols will no longer be required.

This change only applies to the IACUC. But, once the process is running smoothly, we plan to initiate this in the other Committees as well.

### **InfoEd corner**

The InfoEd implementation team has been working on the testing of a major upgrade to our InfoEd system which will extend the proposal development system to all sponsors and enable the electronic routing system scheduled for later this year. Once we have successfully upgraded, we can move forward with the deployment of those long awaited functionalities.

### **New resource when considering international travel**

OSP has added a discussion about international academic travel and export controls to the Export Control section of its [website](http://www.uvm.edu/~export/). The information, provided by the National Association of College and University Attorneys (NACUA), provides a clear description of the issues and actions faculty members should consider. Any questions about Export Control issues may be directed to Ruth Farrell ([Ruth.Farrell@uvm.edu](mailto:Ruth.Farrell@uvm.edu), 656-3360)

## FEATURED TOPIC

### **Supporting a Community of Scholars Dedicated to the Public Good: The UVM Community Participatory Action Research (C-PAR) Network**



*By the UVM CPAR Executive Committee (Kate Westdijk, Christopher Koliba, Lini Wollenberg, Katlyn Morris, Ken Bauer, Ben King and Ernesto Mendez)*

The practice goes by many names – “Community Based Research,” “Participatory Action Research,” “Social Action Research,” – but no matter what you call it, or in what discipline focus you might find it, the underlying principles are the same:

- Research is done *with*, not *for* or *on* the community;
- Community partners and researchers hold equal power in making decisions; and
- The knowledge gained from research is applied for the benefit of the greater community.

This type of research has been happening at the University of Vermont throughout its history, all the way back to its founding as a land grant institution. Recently, however, UVM researchers involved in community-based research have begun to come together to share best practices, discuss opportunities for training and development, and address their needs as a subset of the university research community. This group, called the “CPAR Network” (Community Participatory Action Research Network), launched 2 years ago as an open network that invites researchers, community partners, and students to join in the conversation.

Initiated by the Office of Community-University Partnerships and Service-Learning (CUPS), the founding group includes representatives from campus offices (such as Extension and the Center for Rural Studies), campus-community programs (such as the University Transportation Research Center and CUPS), and departments (such as nursing, natural resources, and community development). The CPAR network is “an alliance of UVM affiliates with local, national and international partners who seek to support and advance participatory action research conducted in partnership with, and for the benefit of, the larger community (Mission revised as of Fall 2008).”

Guided by an active CPAR Executive Committee, the network holds open meetings every semester and sponsors initiatives that further this mission such as speakers, trainings, and workshops. In addition, the group strives to improve the university’s ability to meet community needs by increasing the awareness of and support for community-engaged scholarship. This group sees a place for their work in the overall strategic goals of the university as leaders look toward a sustainable, dynamic future that appreciates interdisciplinary inquiry and values knowledge that promotes the common good.

For more information or to join the UVM C-PAR Network listserv, visit the C-PAR Website (<http://www.uvm.edu/~cpar/>) or the UVM CUPS Office Website

## EVENTS and OSP CALENDAR

<b>Next IBC (Institutional Biosafety Committee) submission deadline</b> (completed Risk Assessment due prior to submitting to IBC) (view full mtg. schedule) <a href="http://www.uvm.edu/~ibc/uvminfo/deadlineandmeetingdates.pdf">http://www.uvm.edu/~ibc/uvminfo/deadlineandmeetingdates.pdf</a>	<b>September 29, 2009</b>
<b>Next CHRBS (Comm. on Human Research in the Behavioral Sciences) submission deadline</b> (view full mtg. schedule) <a href="http://www.uvm.edu/irb/reference/sect16-meet-dateBS.pdf">http://www.uvm.edu/irb/reference/sect16-meet-dateBS.pdf</a>	<b>September 30, 2009</b>
<b>Next National Science Foundation (NSF) Regional Grants Conference</b> (view upcoming conferences and details) <a href="http://www.nsf.gov/bfa/dias/policy/outreach.jsp">http://www.nsf.gov/bfa/dias/policy/outreach.jsp</a>	<b>October 5-6, 2009</b>
<b>Next CHRMS (Committee on Human Research in the Medical Sciences) submission deadline</b> (view full mtg. schedule) <a href="http://www.uvm.edu/irb/reference/sect16-meet-dateMS.pdf">http://www.uvm.edu/irb/reference/sect16-meet-dateMS.pdf</a>	<b>October 7, 2009</b>
<b>Next National Institutes of Health (NIH) Regional Seminar (San Antonio TX)</b> (view upcoming seminars and details) <a href="http://grants.nih.gov/grants/seminars.htm">http://grants.nih.gov/grants/seminars.htm</a>	<b>TBA soon</b>