

# Geometry of Drinfeld Modular Forms

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# Notation

$q$  - a power of an odd prime.

$K$  - the function field of some smooth, connected, projective curve over a field of characteristic  $q$ , e.g.  $\mathbb{P}^1$

Classical Setting	Function Field
$\mathbb{Z}$	$A \stackrel{\text{def}}{=} \mathbb{F}_q[T]$
$\mathbb{Q}$	$K \stackrel{\text{def}}{=} \mathbb{F}_q(T)$
$\mathbb{R}$	$K_\infty \stackrel{\text{def}}{=} \mathbb{F}_q\left(\left(\frac{1}{T}\right)\right)$
$\mathbb{C}$	$C \stackrel{\text{def}}{=} \widehat{K_\infty}$
$\mathcal{H} = \{a + bi \in \mathbb{C} : b > 0\}$	$\Omega \stackrel{\text{def}}{=} C - K_\infty$
$\text{SL}_2(\mathbb{Z}) \setminus \mathcal{H}$	$\text{GL}_2(A) \setminus \Omega$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} z = \frac{az+b}{cz+d}$$

# The classical thing we want to analogize

Let  $\Gamma \leq \mathrm{PSL}_2(\mathbb{R})$  be a Fuchsian group with finite coarea. Let  $\mathcal{X}(\Gamma)$  denote the stacky curve over  $\mathbb{C}$  which is the algebraization of the compactified orbifold quotient  $X = \Gamma \backslash \mathcal{H}^{(*)}$ . We know (e.g. [VZB22, Chapter 6])

$$M(\Gamma) \stackrel{\text{def}}{:=} \bigoplus_{k \geq 0} M_k(\Gamma) \xrightarrow{\sim} \bigoplus_{k \geq 0} H^0(\mathcal{X}_\Gamma, \Omega_{\mathcal{X}_\Gamma}^1(\Delta)^{\otimes k/2}) \stackrel{\text{def}}{=} R(\mathcal{X}_\Gamma; \Delta),$$
$$f \mapsto fdz^{\otimes k/2}$$

[Gek86, page 13]:

in § 4. It would be desirable to have a description by generators and relations, where the generating modular forms should have an elementary interpretation by means of Drinfeld modules. In § 5, the genera of

# Why Stacks? What are Stacks?

Modular forms are *\*always\** sections of a line bundle.

However,

$$H^0(X, L^{\otimes k}) \neq M_k(\Gamma) \quad \text{and} \quad R(X; L) \neq M(\Gamma),$$

where

$$\begin{cases} X & = \text{moduli scheme,} \\ L & = \text{appropriate line bundle,} \\ M & = \text{vector space of modular forms.} \end{cases}$$

So, **what are stacks?**

1-category	2-category
functor / pre-sheaf	fibred category
separated pre-sheaf	pre-stack
sheaf	stack
algebraic space / scheme	algebraic stack
variety	algebraic stack of finite type over a field

# So, what are stacks?

## Definition

A **stacky curve** over an algebraically closed field  $\mathbb{K}$  is:

- a smooth, integral, proper, scheme  $X$  of dimension 1, together with
- a finite number of closed points  $P_1, \dots, P_r$  called **stacky points** with stabilizer orders  $e_1, \dots, e_r \in \mathbb{Z}_{\geq 2}$ .

## Example ([Lau96, Corollary 1.4.3])

The moduli space  $\mathcal{M}_A^2$  of rank 2 Drinfeld modules with no level structure is known to be a Deligne-Mumford algebraic stack of finite type over  $\mathbb{F}_p$ .

# Stacky Curves 101

Let  $\mathcal{X}$  denote a stacky curve with **signature**  $\sigma = (g; e_1, \dots, e_r)$ . We say that  $D \in \text{Div}(\mathcal{X})$  has

$$\deg(D) = [D] = \left[ \sum_i a_i P_i \right] \stackrel{\text{def}}{=} \sum_i [a_i] \pi(P_i),$$

where  $\pi : \mathcal{X} \rightarrow X$  is the coarse space morphism. The **(log) canonical ring of  $(\mathcal{X}; \Delta)$**  is

$$R(\mathcal{X}; \Delta) = \bigoplus_{d \geq 0} H^0(\mathcal{X}, d(K_{\mathcal{X}} + \Delta)),$$

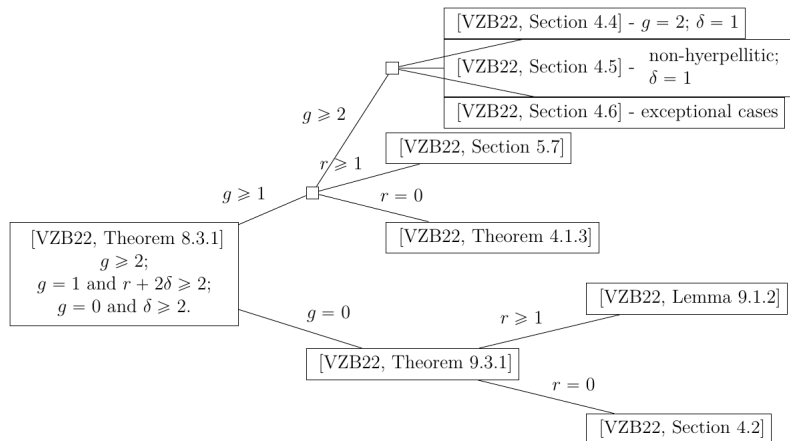
where

$$K_{\mathcal{X}} \sim K_X + \left( \sum_{i=1}^r \frac{1}{e_i} P_i \right),$$

is a **canonical divisor** of  $\mathcal{X}$  and  $\Delta = \sum_j Q_j \in \text{Div}(\mathcal{X})$  is a **log divisor**.

# Computing the Canonical Ring of a Stacky Curve

[VZB22] gives an inductive presentation of  $R(\mathcal{X})$  for  $\mathcal{X}$  with  $\sigma = (g; e_1, \dots, e_r)$  in terms of  $R(\mathcal{X}')$  with  $\sigma' = (g; e_1, \dots, e_{r-1})$ :



## Example (Goss and Gekeler's famous $GL_2(A)$ -forms)

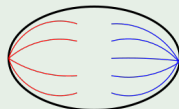
- $g$  of weight  $q - 1$  and type 0,
- $\Delta$  of weight  $q^2 - 1$  and type 0,
- $h$  of weight  $q + 1$  and type 1.

$$\bigoplus_{k \geq 0} M_{k,0}(GL_2(A)) = C[g, \Delta] \quad \text{and}$$

$$\bigoplus_{\substack{k \geq 0 \\ l \equiv k \pmod{q-1}}} M_{k,l}(GL_2(A)) = C[g, h].$$

## Example (Stacky $j$ -line)

$\mathcal{X}_{GL_2(A)} \cong \mathbb{P}^1(q-1, q+1)$  is a **football** (see e.g. [VZB22, 5.3.14]):



**But,**  $R(\mathcal{X}_{GL_2(A)}) \neq C[g, h].$



# What goes “Wrong” in Function Fields

Among other resources, we have:

$\left\{ \begin{array}{l} \text{[Gek01]} \quad \text{for signatures of Drinfeld modular curves, and} \\ \text{[VZB22]} \quad \text{for computing canonical rings of stacky curves.} \end{array} \right.$

So, **where's our modular forms = sections of a line bundle?**

We will consider:

- weight and type of Drinfeld modular forms;
- exponentials and  $u$ -series;
- special congruence groups  $\Gamma \leq \mathrm{GL}_2(A)$ ;
- elliptic points and cusps of Drinfeld modular curves;
- GAGA for rigid analytic stacks.

# Drinfeld Modular Forms

## Definition

Let  $\Gamma \leq \mathrm{GL}_2(A)$  be a congruence subgroup. A **modular form** of **weight**  $k \in \mathbb{Z}_{\geq 0}$  and **type**  $l \in \mathbb{Z}/((q-1)\mathbb{Z})$  is a map  $f : \Omega \rightarrow \mathbb{C}$  such that

1.  $f$  is holomorphic on  $\Omega$  and at the cusps of  $\Gamma$ ;
2.  $f(\gamma z) = \det(\gamma)^{-l}(cz + d)^k f(z)$  for all  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ .

## Lemma ([Gek88, Remark (5.8.i)])

If  $M_{k,l}(\Gamma) \neq 0$ , then  $k \equiv 2l \pmod{q-1}$ .

## Proof.

If  $f$  is non-zero modular for  $\Gamma$  of weight  $k$  and type  $l$  then

$$f\left(\begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix} z\right) = f\left(\frac{\alpha z}{\alpha}\right) = f(z) = \alpha^k \alpha^{-2l} f(z).$$



# “Fourier series” for Drinfeld Modular Forms

## Definition

We define a **parameter at infinity**

$$u(z) \stackrel{\text{def}}{=} \frac{1}{e_{\bar{\pi}A}(\bar{\pi}z)} = \frac{1}{\bar{\pi}e_A(z)} = \bar{\pi}^{-1} \sum_{a \in A} \frac{1}{z + a}.$$

Recall:

- $u(\alpha z) = \alpha^{-1} u(z)$  for any  $\alpha \in \mathbb{F}_q^\times$ .
- $u$ -series coefficients for a Drinfeld modular form uniquely determine the form.

## Lemma

$$\frac{de_A(z)}{dz} = 1 \Rightarrow \frac{du}{u^2} = -\bar{\pi} dz, \text{ i.e. the differential } dz \text{ has a double pole at } \infty.$$

Drinfeld modular forms are *sensitive to determinants*, so consider some “friendlier” modular forms for Breuer and Böckle’s special congruence subgroups:

[Bre16] Let  $\Gamma_2 \stackrel{\text{def}}{=} \{\gamma \in \Gamma : \det(\gamma) \in (\det \Gamma)^2\}$ .  
(Suppose  $\det \Gamma = (\mathbb{F}_q^\times)^2$ .)

[Böckle] Let  $\Gamma_1 \stackrel{\text{def}}{=} \{\gamma \in \Gamma : \det(\gamma) = 1\}$ . Suppose  $\Gamma'$  is such that  $\Gamma_1 \leq \Gamma' \leq \Gamma$ .

The subgroups  $\Gamma_2$  and  $\Gamma'$  may be thought of as the inverse image under  $\det : \mathrm{GL}_2(A) \rightarrow \mathbb{F}_q^\times$  of some subgroup of  $\mathbb{F}_q^\times$ .

# Cusps and Elliptic Points

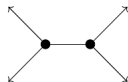
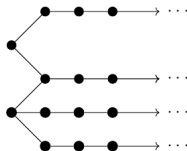
Let  $\Gamma \leq \mathrm{GL}_2(A)$  be a congruence subgroup. Let  $X_\Gamma^{\mathrm{an}} = \Gamma \backslash (\Omega \cup \mathbb{P}^1(K))$ .

## Definition

A **cusp** of  $X_\Gamma^{\mathrm{an}}$  is a representative for some orbit  $\Gamma \backslash \mathbb{P}^1(K)$ . A point  $e \in X_\Gamma^{\mathrm{an}}$  is an **elliptic point** for  $\Gamma$  if  $\mathrm{Stab}_\Gamma(e)$  is strictly larger than:  $\mathbb{F}_q^\times \cong \left\{ \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix} : \alpha \in \mathbb{F}_q^\times \right\}$ .

## Example (with thanks to Mihran)

Suppose  $x \neq y \in A$  have  $\deg(x) = 1 = \deg(y)$ . Consider  $\Gamma_0(xy) \backslash \mathcal{I}$ :

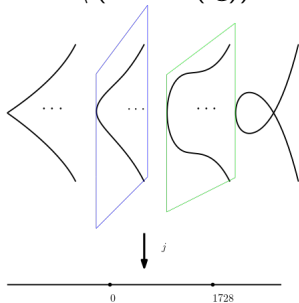


The half-line  $\mathrm{GL}_2(A) \backslash \mathcal{I}$  (or  $\mathrm{SL}_2(A) \backslash \mathcal{I}$ ) [GN95] computes  $\Gamma_0(xy) \backslash \mathcal{I}$  “layer by layer”

We can “read off” that  $\mathcal{X}_{\Gamma_0(xy)}$  has 4 cusps.

# Cusps are Elliptic Points

Let  $\Gamma^1 \leq \mathrm{SL}_2(\mathbb{Z})$ . Consider a cartoon of  $\Gamma^1 \backslash (\mathcal{H} \cup \mathbb{P}^1(\mathbb{Q}))$ :



$$\Gamma^1 \backslash \mathbb{P}^1(\mathbb{Q}) \leftrightarrow \left( \begin{array}{c} \text{singular} \\ \text{elliptic curves} \end{array} \right),$$

but only elliptic curves with  $j = 0$  or 1728 have extra automorphisms.

Let  $\Gamma \leq \mathrm{GL}_2(A)$ . Consider the moduli  $\mathcal{X}_\Gamma = [X_\Gamma / Z(\mathrm{GL}_2(A))]$ :

$$\mathrm{Aut}(\varphi) \cong \mathbb{F}_q^\times \quad // \mathbb{F}_q^\times;$$

$$\mathrm{Aut}(\varphi_{(j=0)}) \cong \mathbb{F}_{q^2}^\times \quad // \mathbb{F}_q^\times;$$

$$\mathrm{Aut}(\varphi_{(j=\infty)}) \cong \left\{ \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} \right\} \quad // \mathbb{F}_q^\times;$$

so cusps on a stacky Drinfeld modular curve are elliptic points!

# Elliptic Points on Stacky Curves

## Example (Classical $j$ -line)

- $X(1) = \mathrm{SL}_2(\mathbb{Z}) \backslash (\mathcal{H} \cup \mathbb{P}^1(\mathbb{Q}))$  - the “usual”  $j$ -line  $\mathbb{P}^1(\mathbb{C})$
- $\overline{\mathcal{M}}_{1,1}$  - DM stack representing the moduli of stable elliptic curves

$\overline{\mathcal{M}}_{1,1}$  is a  $\mu_2$ -gerbe over

$\mathcal{X}(1) = [X(1)/Z(\mathrm{SL}_2(\mathbb{Z}))]$ , i.e.

$\mathcal{X}(1)$  is a rigidification  $\overline{\mathcal{M}}_{1,1} // \mu_2$ :

$$\overline{\mathcal{M}}_{1,1} \xrightarrow{\pi} \mathcal{X}(1) \rightarrow X(1)$$

$$\mathbb{P}^1(4, 6) \xrightarrow{\pi} \mathbb{P}^1(2, 3) \rightarrow \mathbb{P}^1(\mathbb{C}) .$$

## Example (Drinfeld $j$ -line)

- $X(1) = \mathrm{GL}_2(A) \backslash (\Omega \cup \mathbb{P}^1(K))$  - the “usual”  $j$ -line  $\mathbb{P}^1(\mathbb{C})$
- $\mathcal{M}_A^2$  - DM stack representing the moduli of rank 2 Drinfeld modules with no level structure

$\mathcal{M}_A^2$  is a  $\mu_{q-1}$ -gerbe over

$\mathcal{X}(1) = [X(1)/Z(\mathrm{GL}_2(A))]$ , i.e.

$\mathcal{X}(1)$  is a rigidification  $\mathcal{M}_A^2 // \mu_{q-1}$ :

$$\mathcal{M}_A^2 \xrightarrow{\pi} \mathcal{X}(1) \rightarrow X(1)$$

$$\begin{aligned} \mathbb{P}^1((q-1)^2, q^2-1) &\xrightarrow{\pi} \\ \rightarrow \mathbb{P}^1(q-1, q+1) &\rightarrow \mathbb{P}^1(\mathbb{C}) . \end{aligned}$$

## Theorem

Let  $A$  be a  $k$ -affinoid algebra, for  $k$  some non-archimedean field.

([PY16, Lemma 7.2]) Let  $\mathcal{X}$  be an algebraic stack locally of finite presentation over  $\mathrm{Spec}(A)$ . Suppose that for  $\mathcal{F} \in \mathcal{O}_{\mathcal{X}} - \mathrm{Mod}$  we have

$$\mathcal{F} \cong \lim_{\tau \geq -n} \mathcal{F}.$$

Then the **analytification functor**  $(-)^{\mathrm{an}}$  commutes with this limit.

([PY16, Theorems 7.4 and 7.5]) Let  $\mathcal{X}$  be a proper algebraic stack over  $\mathrm{Spec}(A)$ . The analytification functor on coherent sheaves induces an equivalence of categories

$$\mathrm{Coh}(\mathcal{X}) \xrightarrow{\cong} \mathrm{Coh}(\mathcal{X}^{\mathrm{an}}).$$



# Geometry of Drinfeld Modular Forms (1/3)

Let  $q$  be odd;

Let  $\Gamma \leq \mathrm{GL}_2(\mathbb{A})$ ;

Let  $\Gamma_2 = \{\gamma \in \Gamma : \det(\gamma) \in (\mathbb{F}_q^\times)^2\}$ .

Consider the cover of modular curves

$$\begin{array}{c} \mathcal{X}_{\Gamma_2} \\ \downarrow \\ \mathcal{X}_{\Gamma} \end{array}$$

When we compute the log canonical ring  $R(\mathcal{X}_{\Gamma_2}; 2\Delta)$  we get the following result.

**Theorem ([Fra23, 6.1])**

*There is an isomorphism of graded rings*

$$M(\Gamma_2) \cong R(\mathcal{X}_{\Gamma_2}; \Omega_{\mathcal{X}_{\Gamma_2}}^1(2\Delta)),$$

*given by isomorphisms*

$$M_{k,l}(\Gamma_2) \rightarrow H^0(\mathcal{X}_{\Gamma_2}, \Omega_{\mathcal{X}_{\Gamma_2}}^1(2\Delta)^{\otimes k/2})$$

*of form  $f \mapsto f(dz)^{\otimes k/2}$ , where  $k \equiv 2l \pmod{q-1}$ .*

## Geometry of Drinfeld Modular Forms (2/3)

Let  $q$  be odd;

Let  $\Gamma \leq \mathrm{GL}_2(A)$ ;

Let  $\Gamma_2 = \{\gamma \in \Gamma : \det(\gamma) \in (\mathbb{F}_q^\times)^2\}$ .

Consider the cover of modular curves

$$\begin{array}{c} \mathcal{X}_{\Gamma_2} \\ \downarrow \\ \mathcal{X}_{\Gamma} \end{array}$$

When we compare the modular forms for  $\Gamma$  and  $\Gamma_2$  we find the following.

**Theorem ([Fra23, 6.2])**

We have  $M(\Gamma) \cong M(\Gamma_2)$ , with

$$M_{k,l}(\Gamma_2) = M_{k,l_1}(\Gamma) \oplus M_{k,l_2}(\Gamma)$$

on each component, where  $l_1, l_2$  are the solutions to  $k \equiv 2l \pmod{q-1}$ .

# Geometry of Drinfeld Modular Forms (3/3)

Let  $q$  be odd;

Let  $\Gamma \leq \mathrm{GL}_2(\mathcal{A})$ ;

Let  $\Gamma_1 = \{\gamma \in \Gamma : \det(\gamma) = 1\}$ .

Suppose that  $\Gamma_1 \leq \Gamma' \leq \Gamma$ .

Consider the cover of modular curves

$$\begin{array}{c} \mathcal{X}_{\Gamma'} \\ \downarrow \\ \mathcal{X}_{\Gamma} \end{array}$$

When we compare the modular forms for  $\Gamma$  and  $\Gamma'$  we find the following generalization of [Fra23, Theorem 6.2].






## Theorem ([Fra23, 6.12])




*We have  $M(\Gamma) \cong M(\Gamma')$ , and each component  $M_{k,l}(\Gamma')$  is some direct sum of components  $M_{k,l'}(\Gamma)$  for some nontrivial  $l'$ .*

Thank you!

Further details available at [arXiv:2310.19623](https://arxiv.org/abs/2310.19623)

or in my thesis, which is available upon request.

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