Mechanisms for Generating Power-Law Size Distributions, Part 4

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Principles of Complex Systems, Vols. 1, 2, & 3D CSYS/MATH 6701, 6713, & a pretend number, 2023–2024| @pocsvox

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Computational Story Lab | Vermont Complex Systems Center Santa Fe Institute | University of Vermont























The PoCSverse Power-Law Mechanisms, Pt. 4 1 of 49

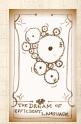
Optimization

Minimal Cost

Mandelbrot vs. Simon Assumptions

Model
Analysis
And the winner is...?

Nutshell



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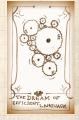
Minimal Cost

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Outline

Optimization

Minimal Cost Mandelbrot vs. Simon Assumptions Model Analysis And the winner is...?

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The Boggoracle Speaks: ⊞ ☑



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Optimization

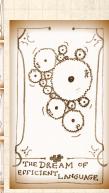
Mandelbrot vs. Simon Assumptions Model

Analysis
And the winner is...?

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Outline

Optimization Minimal Cost

> Mandelbrot vs. Simon Assumptions Model Analysis

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Minimal Cost

Mandelbrot vs. Simo Assumptions

Model Analysis

And the winner is...?

Nutshell



Benoît Mandelbrot





Mandelbrot = father of fractals



Mandelbrot = almond bread



Bonus Mandelbrot set action: here .

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Minimal Cost

Model

And the winner is ...?

Nutshell



Benoît Mandelbrot



Derived Zipf's law through optimization [8]

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Minimal Cost

Mandelbrot vs. Simon

Model

And the winner is ...?

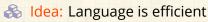
Nutshell



Benoît Mandelbrot



Derived Zipf's law through optimization [8]



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Minimal Cost

Model

And the winner is ...?

Nutshell



Benoît Mandelbrot



Derived Zipf's law through optimization [8]



Idea: Language is efficient



Communicate as much information as possible for as little cost

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Minimal Cost

Model

And the winner is ...?

Nutshell



Benoît Mandelbrot



Derived Zipf's law through optimization [8]



Idea: Language is efficient



Communicate as much information as possible for as little cost



 \mathbb{A} Need measures of information (H) and average cost (C)...

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Minimal Cost

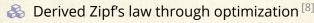
Model

And the winner is ...?

Nutshell



Benoît Mandelbrot



🗞 Idea: Language is efficient

Communicate as much information as possible for as little cost

Need measures of information (H) and average cost (C)...

A Language evolves to maximize H/C, the amount of information per average cost.

The PoCSverse Power-Law Mechanisms, Pt. 4 9 of 49

Minimal Cost
Mandelbrot vs. Simon

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And the wir

And the winner is...?

Nutshell



Benoît Mandelbrot

- Derived Zipf's law through optimization [8]
- 🙈 Idea: Language is efficient
- Communicate as much information as possible for as little cost
- Need measures of information (*H*) and average cost (*C*)...
- \clubsuit Equivalently: minimize C/H.

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Minimal Cost
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And the winner is...?

Nutshell



Benoît Mandelbrot

- Derived Zipf's law through optimization [8]
- 🙈 Idea: Language is efficient
- Communicate as much information as possible for as little cost
- Need measures of information (H) and average cost (C)...
- \Leftrightarrow Equivalently: minimize C/H.
- Recurring theme: what role does optimization play in complex systems?

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And the winner is...?

Deferences



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Mandelbrot vs. Simon

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The Quickening — Mandelbrot v. Simon:

There Can Be Only One:



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The Quickening — Mandelbrot v. Simon:

There Can Be Only One:



Things there should be only one of: Theory, Highlander Films. The PoCSverse Power-Law Mechanisms, Pt. 4 11 of 49

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Nutshell



The Quickening — Mandelbrot v. Simon:

There Can Be Only One:



Things there should be only one of: Theory, Highlander Films.

Feel free to play Queen's It's a Kind of Magic

in your head (funding remains tight).

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Now let us enjoy the Trailer for Highlander:

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And the winner is...?

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Mandelbrot vs. Simon:

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And the winner is...?

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vs.

Mandelbrot vs. Simon:

Mandelbrot (1953): "An Informational Theory of the Statistical Structure of Languages" [8]

The PoCSverse Power-Law Mechanisms, Pt. 4 13 of 49

Minimal Cost

Mandelbrot vs. Simon
Assumptions

Model Analysis And the winner is...?

Nutshell







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- Simon (1955): "On a class of skew distribution functions" [14]

The PoCSverse Power-Law Mechanisms, Pt. 4 13 of 49

Minimal Cost

Mandelbrot vs. Simon
Assumptions

Model Analysis

And the winner is...?

Nutshell







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The PoCSverse Power-Law Mechanisms, Pt. 4 13 of 49

Minimal Cost

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And the winner is...?

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vs.

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Minimal Cost

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And the winner is...?

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- Mandelbrot (1959): "A note on a class of skew distribution functions: analysis and critique of a paper by H.A. Simon" [9]
- Simon (1960): "Some further notes on a class of skew distribution functions" [15]







vs.

Mandelbrot vs. Simon:

Mandelbrot (1961): "Final note on a class of skew distribution functions: analysis and critique of a model due to H.A. Simon" [10]

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Minimal Cost

Mandelbrot vs. Simon

Model Analysis And the winner is...?

Nutshell







vs.

Mandelbrot vs. Simon:

- Mandelbrot (1961): "Final note on a class of skew distribution functions: analysis and critique of a model due to H.A. Simon" [10]
- Simon (1961): "Reply to 'final note' by Benoit Mandelbrot" [17]

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Minimal Cost

Mandelbrot vs. Simon

Model Analysis

And the winner is...?

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vs.

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Minimal Cost

Mandelbrot vs. Simon

Model Analysis And the winner is...?

Nutshell

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vs.

The PoCSverse Power-Law Mechanisms, Pt. 4 14 of 49

Optimizat

Mandelbrot vs. Simon

Model
Analysis
And the winner is...?

Nutshell

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Mandelbrot:

"We shall restate in detail our 1959 objections to Simon's 1955 model for the Pareto-Yule-Zipf distribution. Our objections are valid quite irrespectively of the sign of p-1, so that most of Simon's (1960) reply was irrelevant." [10]

The PoCSverse Power-Law Mechanisms, Pt. 4 15 of 49

Minimal Cost

Mandelbrot vs. Simon

Model

And the winner is...?

Nutshell



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The PoCSverse Power-Law Mechanisms, Pt. 4 15 of 49

Minimal Cost

Mandelbrot vs. Simon

Model

And the winner is...?

Nutshell



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The PoCSverse Power-Law Mechanisms, Pt. 4 15 of 49

Minimal Cost

Mandelbrot vs. Simon

Model

And the winner is...?

Nutshell



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Minimal Cost

Mandelbrot vs. Simon

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And the winner is...?

Nutshell



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"You can't do this to me, I WENT TO COLLEGE!"

The PoCSverse Power-Law Mechanisms, Pt. 4 15 of 49

Minimal Cost

Mandelbrot vs. Simon

Model

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And the winner is...?

Nutshell



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The PoCSverse Power-Law Mechanisms, Pt. 4 15 of 49

Minimal Cost

Mandelbrot vs. Simon

Model

And the winner is...?

Nutshell



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Plankton:



"You can't do this to me, I WENT TO COLLEGE!" "You weak minded fool!" "You just lost your brain privileges," etc.

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And the winner is...?

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Two theories enter, one theory leaves:

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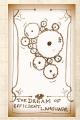
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And the winner is...?

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And the winner is...

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And the winner is...?

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Mandelbrot's Assumptions:

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And the winner is...?

Nutshell



Mandelbrot's Assumptions:



 \clubsuit Language contains n words: w_1, w_2, \dots, w_n .

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Minimal Cost

Assumptions

And the winner is ...?

Nutshell



Mandelbrot's Assumptions:

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 $\ensuremath{ \leqslant } i$ th word appears with probability p_i

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Minimal Cos

Mandelbrot vs. Simon

Assumptions

Analysis

And the winner is...?

Nutshell



Mandelbrot's Assumptions:

- & Language contains n words: w_1, w_2, \dots, w_n .
- $ext{ } extit{ } i$ th word appears with probability p_i
- Words appear randomly according to this distribution (obviously not true...)

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Mandelbrot vs. Simon
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And the winner is...?

Nutshell



Mandelbrot's Assumptions:

- $ext{ } extit{ } i$ th word appears with probability p_i
- Words appear randomly according to this distribution (obviously not true...)
- Words = composition of letters is important

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Minimal Cost

Mandelbrot vs. Simon
Assumptions

Model

And the winner is...?

Nutshell



Mandelbrot's Assumptions:

- lap.eq ith word appears with probability p_i
- Words appear randomly according to this distribution (obviously not true...)
- Words = composition of letters is important
- Alphabet contains m letters

The PoCSverse Power-Law Mechanisms, Pt. 4 18 of 49

Minimal Cost

Mandelbrot vs. Simo

Model

And the winner is...?

Nutshell



Mandelbrot's Assumptions:

- $ext{ } extit{ } i$ th word appears with probability p_i
- Words appear randomly according to this distribution (obviously not true...)
- Words = composition of letters is important
- Words are ordered by length (shortest first)

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Minimal Cost

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And the winner is...?

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Word Cost

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Word Cost



Length of word (plus a space)

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And the winner is ...?

Nutshell



Word Cost



Length of word (plus a space)



Word length was irrelevant for Simon's method

The PoCSverse Power-Law Mechanisms, Pt. 4 19 of 49

Assumptions

And the winner is ...?

Nutshell



Word Cost



Length of word (plus a space)



Word length was irrelevant for Simon's method

Objection



Real words don't use all letter sequences

The PoCSverse Power-Law Mechanisms, Pt. 4 19 of 49

Assumptions

And the winner is ...?

Nutshell



Word Cost



Length of word (plus a space)



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Objection



Real words don't use all letter sequences

Objections to Objection

Maybe real words roughly follow this pattern (?)

The PoCSverse Power-Law Mechanisms, Pt. 4 19 of 49

Assumptions

And the winner is ...?



Word Cost



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Objections to Objection

Maybe real words roughly follow this pattern (?)

Words can be encoded this way

The PoCSverse Power-Law Mechanisms, Pt. 4 19 of 49

Assumptions

And the winner is ...?



Word Cost



Length of word (plus a space)



Word length was irrelevant for Simon's method

Objection



Real words don't use all letter sequences

Objections to Objection



Maybe real words roughly follow this pattern (?)

Words can be encoded this way

Na na na-na naaaaa...

The PoCSverse Power-Law Mechanisms, Pt. 4 19 of 49

Assumptions

And the winner is ...?



Binary alphabet plus a space symbol

i	1	2	3	4	5	6	7	8
word	1	10	11	100	101	110	111	1000
length	1	2	2	3	3	3	3	4
$1 + \log_2 i$	1	2	2.58	3	3.32	3.58	3.81	4

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Optimizat Minimal Cost

Mandelbrot vs. Simon

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And the winner is...?

Nutshell



Binary alphabet plus a space symbol

i	1	2	3	4	5	6	7	8
word	1	10	11	100	101	110	111	1000
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$1 + \log_2 i$	1	2	2.58	3	3.32	3.58	3.81	4

The PoCSverse Power-Law Mechanisms, Pt. 4 20 of 49

Assumptions

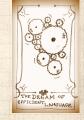
And the winner is ...?

Nutshell

References



3 Word length of 2^k th word: = k + 1



Binary alphabet plus a space symbol

i	1	2	3	4	5	6	7	8
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The PoCSverse Power-Law Mechanisms, Pt. 4 20 of 49

Assumptions

And the winner is ...?

Nutshell References



3 Word length of 2^k th word: $= k + 1 = 1 + \log_2 2^k$



Binary alphabet plus a space symbol

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The PoCSverse Power-Law Mechanisms, Pt. 4 20 of 49

Assumptions

And the winner is ...?

Nutshell

References



3 Word length of 2^k th word: $= k + 1 = 1 + \log_2 2^k$



 \bowtie Word length of ith word $\simeq 1 + \log_2 i$



Binary alphabet plus a space symbol

i	1	2	3	4	5	6	7	8
word	1	10	11	100	101	110	111	1000
length	1	2	2	3	3	3	3	4
$1 + \log_2 i$	1	2	2.58	3	3.32	3.58	3.81	4

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Minimal Cost

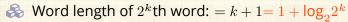
Mandelbrot vs. Sim

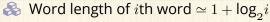
Assumptions Model

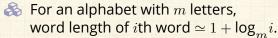
Analysis

And the winner is...?

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The PoCSverse Power-Law Mechanisms, Pt. 4 21 of 49

Minimal Cost

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And the winner is...?

Nutshell



Total Cost C

 $\ \ \,$ Cost of the ith word: $C_i \simeq 1 + \log_m i$

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Optimizat Minimal Cost

Mandelbrot vs. Simon

Model

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And the winner is...?

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Total Cost C

 $\red {\Bbb S}$ Cost of the ith word: $C_i \simeq 1 + \log_m i$

Rightarrow Cost of the ith word plus space: $C_i \simeq 1 + \log_m(i+1)$

The PoCSverse Power-Law Mechanisms, Pt. 4 22 of 49

Optimiz

Mandelbrot vs. Simon

Model

Analysis

And the winner is...?

Nutshell



Total Cost C

- Rightarrow Cost of the ith word plus space: $C_i \simeq 1 + \log_m(i+1)$

The PoCSverse Power-Law Mechanisms, Pt. 4 22 of 49

Optimiz

Mandelbrot vs. Simon

Model

Analysis

And the winner is...?

Nutshell



Total Cost C

- Simplify base of logarithm:

$$C_i' \simeq \log_m(i+1) = \frac{\log_e(i+1)}{\log_e m}$$

The PoCSverse Power-Law Mechanisms, Pt. 4 22 of 49

Optimiz

Mandelbrot vs. Simon

Model

Analysis

And the winner is...?

Nutshell



Total Cost C

- $\red {\Bbb S}$ Cost of the ith word: $C_i \simeq 1 + \log_m i$
- $\ref{Solution}$ Cost of the ith word plus space: $C_i \simeq 1 + \log_m(i+1)$
- Simplify base of logarithm:

$$C_i' \simeq \log_m(i+1) = \frac{\log_e(i+1)}{\log_e m} \propto \log_e(i+1)$$

The PoCSverse Power-Law Mechanisms, Pt. 4 22 of 49

Optimiza

Mandelbrot vs. Simon

Assumptions Model

Analysis
And the winner is...?

And the Willie 15....

Nutshell



Total Cost C

- $\ref{eq:cost}$ Cost of the ith word: $C_i \simeq 1 + \log_m i$

- Simplify base of logarithm:

$$C_i' \simeq \log_m(i+1) = \frac{\log_e(i+1)}{\log_e m} \propto \log_e(i+1)$$

Total Cost:

$$C \sim \sum_{i=1}^n p_i C_i' \propto \sum_{i=1}^n p_i \log_e(i+1)$$

The PoCSverse Power-Law Mechanisms, Pt. 4 22 of 49

Minimal Cost

Mandelbrot vs. Simon

Model

And the winner is...?

Nutshell



Information Measure



Use Shannon's Entropy (or Uncertainty):

$$H = -\sum_{i=1}^n p_i \log_2 p_i$$

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Model

And the winner is ...?

Nutshell



Information Measure



Use Shannon's Entropy (or Uncertainty):

$$H = -\sum_{i=1}^n p_i \mathsf{log}_2 p_i$$



(allegedly) von Neumann suggested 'entropy'...

The PoCSverse Power-Law Mechanisms, Pt. 4 23 of 49

Model

And the winner is ...?

Nutshell



Information Measure



Use Shannon's Entropy (or Uncertainty):

$$H = -\sum_{i=1}^n p_i \mathsf{log}_2 p_i$$



🙈 (allegedly) von Neumann suggested 'entropy'...



Proportional to average number of bits needed to encode each 'word' based on frequency of occurrence

The PoCSverse Power-Law Mechanisms, Pt. 4 23 of 49

Model

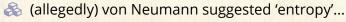
And the winner is ...?

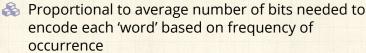


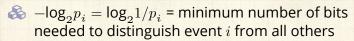
Information Measure

Use Shannon's Entropy (or Uncertainty):

$$H = -\sum_{i=1}^n p_i \mathsf{log}_2 p_i$$







The PoCSverse Power-Law Mechanisms, Pt. 4 23 of 49

Model

And the winner is ...? Nutshell



Information Measure



Use Shannon's Entropy (or Uncertainty):

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🙈 (allegedly) von Neumann suggested 'entropy'...



Proportional to average number of bits needed to encode each 'word' based on frequency of occurrence



 $-\log_2 p_i = \log_2 1/p_i$ = minimum number of bits needed to distinguish event i from all others



 \Rightarrow If $p_i = 1/2$, need only 1 bit (log₂1/ $p_i = 1$)

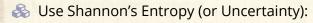
The PoCSverse Power-Law Mechanisms, Pt. 4 23 of 49

Model

And the winner is ...?



Information Measure



$$H = -\sum_{i=1}^n p_i \mathsf{log}_2 p_i$$

- 🙈 (allegedly) von Neumann suggested 'entropy'...
- Proportional to average number of bits needed to encode each 'word' based on frequency of occurrence
- $-\log_2 p_i = \log_2 1/p_i$ = minimum number of bits needed to distinguish event i from all others
- \clubsuit If $p_i=1/2$, need only 1 bit ($\log_2 1/p_i=1$)
- \clubsuit If $p_i = 1/64$, need 6 bits ($\log_2 1/p_i = 6$)

The PoCSverse Power-Law Mechanisms, Pt. 4 23 of 49

Minimal Cost

Mandelbrot vs. Simon Assumptions

Model
Analysis
And the winner is...?

Nutshell



Information Measure



Use a slightly simpler form:

$$H = -\sum_{i=1}^{n} p_i \log_e p_i / \log_e 2$$

The PoCSverse Power-Law Mechanisms, Pt. 4 24 of 49

Model

And the winner is ...?

Nutshell



Information Measure



Use a slightly simpler form:

$$H = -\sum_{i=1}^n p_i \mathrm{log}_e p_i / \mathrm{log}_e 2 = -g \sum_{i=1}^n p_i \mathrm{log}_e p_i$$

where $g = 1/\log_{2} 2$

The PoCSverse Power-Law Mechanisms, Pt. 4 24 of 49

Model

And the winner is ...?

Nutshell





Minimize

$$F(p_1, p_2, \dots, p_n) = C/H$$

subject to constraint

$$\sum_{i=1}^{n} p_i = 1$$

The PoCSverse Power-Law Mechanisms, Pt. 4 25 of 49

Minimal Cost

Assumptions

Model

And the winner is ...?

Nutshell





Minimize

$$F(p_1, p_2, \dots, p_n) = C/H$$

subject to constraint

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Tension:

(1) Shorter words are cheaper

The PoCSverse Power-Law Mechanisms, Pt. 4 25 of 49

Model And the winner is ...?

Nutshell





Minimize

$$F(p_1,p_2,\dots,p_n)=C/H$$

subject to constraint

$$\sum_{i=1}^{n} p_i = 1$$



Tension:

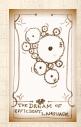
- (1) Shorter words are cheaper
- (2) Longer words are more informative (rarer)

The PoCSverse Power-Law Mechanisms, Pt. 4 25 of 49

Model

And the winner is ...?

Nutshell



Outline

Optimization

Minimal Cost Mandelbrot vs. Simon Assumptions Model Analysis

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The PoCSverse Power-Law Mechanisms, Pt. 4 26 of 49

Minimal Cost

Mandelbrot vs. Simon Assumptions

Model

Analysis

And the winner is...?

Nutshell



Time for Lagrange Multipliers:



$$\begin{split} \Psi(p_1,p_2,\dots,p_n) = \\ F(p_1,p_2,\dots,p_n) + \lambda G(p_1,p_2,\dots,p_n) \end{split}$$

The PoCSverse Power-Law Mechanisms, Pt. 4 27 of 49

Optimiza Minimal Cost

Mandelbrot vs. Sime

Model

Analysis
And the winner is...?

Nutshell



Time for Lagrange Multipliers:



Minimize

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where

$$F(p_1, p_2, \dots, p_n) = \frac{C}{H} = \frac{\sum_{i=1}^n p_i \mathsf{log}_e(i+1)}{-g \sum_{i=1}^n p_i \mathsf{log}_e p_i}$$

and the constraint function is

$$G(p_1,p_2,\dots,p_n) = \sum_{i=1}^n p_i - 1 (=0)$$

The PoCSverse Power-Law Mechanisms, Pt. 4 27 of 49

Model Analysis

And the winner is...?

Nutshell



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Insert assignment question

The PoCSverse Power-Law Mechanisms, Pt. 4 27 of 49

Model Analysis

And the winner is...?

Nutshell



Some mild suffering leads to:



$$p_{j} = e^{-1-\lambda H^{2}/gC}(j+1)^{-H/gC}$$

The PoCSverse Power-Law Mechanisms, Pt. 4 28 of 49

Minimal Cost

Mandelbrot vs. Simon Assumptions

Model

Analysis
And the winner is...?

Nutshell



Some mild suffering leads to:



$$p_{j} = e^{-1-\lambda H^{2}/gC}(j+1)^{-H/gC} \propto (j+1)^{-H/gC}$$

The PoCSverse Power-Law Mechanisms, Pt. 4 28 of 49

Optimizat Minimal Cost

Mandelbrot vs. Simon

Model

Analysis

And the winner is...?

Nutshell



Some mild suffering leads to:



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 \triangle A power law appears [applause]: $\alpha = H/gC$

The PoCSverse Power-Law Mechanisms, Pt. 4 28 of 49

Model Analysis

And the winner is ...?

Nutshell



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The PoCSverse Power-Law Mechanisms, Pt. 4 28 of 49

Minimal Cost

Mandelbrot vs. Simon Assumptions

Model Analysis

And the winner is...?

Nutshell



Some mild suffering leads to:



$$p_{j} = e^{-1-\lambda H^{2}/gC}(j+1)^{-H/gC} \propto (j+1)^{-H/gC}$$

- \Leftrightarrow A power law appears [applause]: $\alpha = H/gC$
- & Next: sneakily deduce λ in terms of g, C, and H.
- Find

$$p_j = (j+1)^{-H/gC}$$

The PoCSverse Power-Law Mechanisms, Pt. 4 28 of 49

Minimal Cost

Mandelbrot vs. Simon Assumptions

Model Analysis

And the winner is...?

Nutshell



Finding the exponent



Now use the normalization constraint:

$$1 = \sum_{j=1}^{n} p_j$$

The PoCSverse Power-Law Mechanisms, Pt. 4 29 of 49

Model

Analysis And the winner is ...?

Nutshell



Finding the exponent



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The PoCSverse Power-Law Mechanisms, Pt. 4 29 of 49

Model

Analysis And the winner is ...?

Nutshell



Finding the exponent



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The PoCSverse Power-Law Mechanisms, Pt. 4 29 of 49

Model

Analysis And the winner is ...?

Nutshell



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As $n \to \infty$, we end up with $\zeta(H/gC) = 2$ where ζ is the Riemann Zeta Function

The PoCSverse Power-Law Mechanisms, Pt. 4 29 of 49

Minimal Cost

Mandelbrot vs. Simon

Model Analysis

And the winner is...?

Nutshell



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- As $n \to \infty$, we end up with $\zeta(H/gC) = 2$ where ζ is the Riemann Zeta Function
- Gives $\alpha \simeq 1.73$ (> 1, too high) or $\gamma = 1 + \frac{1}{\alpha} \simeq 1.58$ (very wild)

The PoCSverse Power-Law Mechanisms, Pt. 4 29 of 49

Minimal Cost

Mandelbrot vs. Simon Assumptions

Model Analysis

And the winner is...?

Nutshell



Finding the exponent

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The PoCSverse Power-Law Mechanisms, Pt. 4 29 of 49

Minimal Cost

Mandelbrot vs. Simon Assumptions

Model Analysis

And the winner is...?

Nutshell



Finding the exponent

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- & Increase a, decrease α

The PoCSverse Power-Law Mechanisms, Pt. 4 29 of 49

Minimal Cost

Mandelbrot vs. Simon

Model Analysis

And the winner is...?

Nutshell



All told:



Reasonable approach: Optimization is at work in evolutionary processes

The PoCSverse Power-Law Mechanisms, Pt. 4 30 of 49

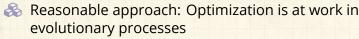
Model Analysis

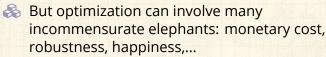
And the winner is ...?

Nutshell



All told:





The PoCSverse Power-Law Mechanisms, Pt. 4 30 of 49

Minimal Cost

Mandelbrot vs. Simon
Assumptions

Model Analysis

And the winner is...?

Nutshell



All told:

- Reasonable approach: Optimization is at work in evolutionary processes
- But optimization can involve many incommensurate elephants: monetary cost, robustness, happiness,...
- Mandelbrot's argument is not super convincing

The PoCSverse Power-Law Mechanisms, Pt. 4 30 of 49

Minimal Cost

Mandelbrot vs. Simon
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And the winner is...?

Nutshell



All told:

- Reasonable approach: Optimization is at work in evolutionary processes
- But optimization can involve many incommensurate elephants: monetary cost, robustness, happiness,...
- Mandelbrot's argument is not super convincing
- Exponent depends too much on a loose definition of cost

The PoCSverse Power-Law Mechanisms, Pt. 4 30 of 49

Minimal Cost

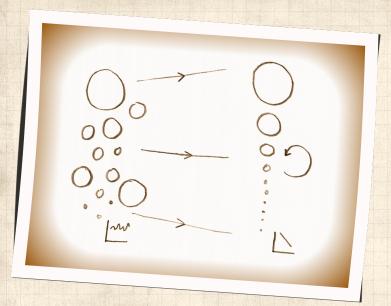
Mandelbrot vs. Simon
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Model Analysis

And the winner is...?

Nutshell





The PoCSverse Power-Law Mechanisms, Pt. 4 31 of 49

Optimization

Minimal Cost

Mandelbrot vs. Simon Assumptions Model

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And the winner is...?

And the willier

Nutshell



From the discussion at the end of Mandelbrot's paper:



A. S. C. Ross: "M. Mandelbrot states that 'the actual direction of evolution (sc. of language) is, in fact, towards fuller and fuller utilization of places'. We are, in fact, completely without evidence as to the existence of any 'direction of evolution' in language, and it is axiomatic that we shall remain so. Many philologists would deny that a 'direction of evolution' could be theoretically possible; thus I myself take the view that a language develops in what is essentially a purely random manner."



Mandelbrot: "As to the 'fundamental linguistic units being the least possible differences between pairs of utterances' this is a logical consequence of the fact that two is the least integer greater than one."

The PoCSverse Power-Law Mechanisms, Pt. 4 32 of 49

Analysis and the winner is...?

Nutshell



Reconciling Mandelbrot and Simon



Mixture of local optimization and randomness

The PoCSverse Power-Law Mechanisms, Pt. 4 33 of 49

Minimal Cost

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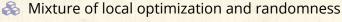
Model

Analysis And the winner is ...?

Nutshell



Reconciling Mandelbrot and Simon



Numerous efforts...

Carlson and Doyle, 1999:
 Highly Optimized Tolerance
 (HOT)—Evolved/Engineered Robustness [2, 3]

The PoCSverse Power-Law Mechanisms, Pt. 4 33 of 49

Minimal Cost

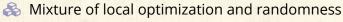
Mandelbrot vs. Simon Assumptions

Model
Analysis
And the winner is...?

Nutshell



Reconciling Mandelbrot and Simon



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- Carlson and Doyle, 1999:
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- 2. Ferrer i Cancho and Solé, 2002: Zipf's Principle of Least Effort [6]

The PoCSverse Power-Law Mechanisms, Pt. 4 33 of 49

Minimal Cost

Mandelbrot vs. Simon Assumptions

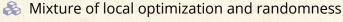
Model Analysis

And the winner is...?

Nutshell



Reconciling Mandelbrot and Simon



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- 2. Ferrer i Cancho and Solé, 2002: Zipf's Principle of Least Effort [6]
- 3. D'Souza et al., 2007: Scale-free networks [4]

The PoCSverse Power-Law Mechanisms, Pt. 4 33 of 49

Minimal Cost

Mandelbrot vs. Simon

Model Analysis

And the winner is...?

Nutshell



Other mechanisms:



Much argument about whether or not monkeys typing could produce Zipf's law... (Miller, 1957) [12] The PoCSverse Power-Law Mechanisms, Pt. 4 34 of 49

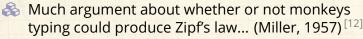
Model Analysis

And the winner is ...?

Nutshell



Other mechanisms:



Miller gets to slap Zipf rather rudely in an introduction to a 1965 reprint of Zipf's "Psycho-biology of Language" [13, 18]

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Optimizatio

Mandelbrot vs. Simon Assumptions

Model Analysis

And the winner is...?

Nutshell



Other mechanisms:

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- Let us now slap Miller around by simply reading his words out (see next slides):















PRO-MIL

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The PoCSverse Power-Law Mechanisms, Pt. 4 34 of 49

Optimizatio

Mandelbrot vs. Simon Assumptions

Model Analysis

And the winner is...?

Nutshell



Other mechanisms:

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- Let us now slap Miller around by simply reading his words out (see next slides):



Side note: Miller mentions "Genes of Language."

The PoCSverse Power-Law Mechanisms, Pt. 4 34 of 49

Model Analysis

And the winner is ...?



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An interpretation of the property of

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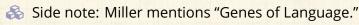
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Still fighting: "Random Texts Do Not Exhibit the Real Zipf's Law-Like Rank Distribution" ^[5] by Ferrer-i-Cancho and Elvevåg, 2010.

The PoCSverse Power-Law Mechanisms, Pt. 4 34 of 49

Optimization

Minimal Cost

Mandelbrot vs. Simon

Model Analysis

And the winner is...?



INTRODUCTION

The Psycho-Biology of Language is not calculated to please every taste. Zipf was the kind of man who would take roses apart to count their petals; if it violates your sense of values to tabulate the different words in a Shakespearean sonnet, this is not a book for you. Zipf took a scientist's view of language — and for him that meant the statistical analysis of language as a biological, psychological, social process. If such analysis repels you, then leave your language alone and avoid George Kingsley Zipf like the plague. You will be much happier reading Mark Twain: "There are liars, damned liars, and statisticians." Or W. H. Auden: "Thou shalt not sit with statisticians nor commit a social science."

However, for those who do not flinch to see beauty murdered in a good cause, Zipf's scientific exertions yielded some wonderfully unexpected results to boggle the mind and tease the imagination. Language is — among other things — a biological, psychological, social process; to apply statistics to it merely acknowledges its essential unpredictability, without which it would be useless. But who would have thought that in the very heart of all the freedom language allows us Zipf would find an invariant as solid and reliable as the law of gravitation?

The PoCSverse Power-Law Mechanisms, Pt. 4 35 of 49

Optimization
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Model
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And the winner is...?

Nutshell



Put it this way. Suppose that we acquired a dozen monkeys and chained them to typewriters until they had produced some very long and random sequence of characters. Suppose further that we defined a "word" in this monkeytext as any sequence of letters occurring between successive spaces. And suppose finally that we counted the occurrences of these "words" in just the way Zipf and others counted the occurrences of real words in meaningful texts. When we plot our results in the same manner, we will find exactly the same "Zipf curves" for the monkeys as for the human authors. Since we are not likely to argue that the poor monkeys were searching for some equilibrium between uniformity and diversity in expressing their ideas, such explanations seem equally inappropriate for human authors.

A mathematical rationalization for this result has been provided by Benoit Mandelbrot. The crux of it is that if we assume that word-boundary markers (spaces) are scattered randomly through a text, then there will necessarily be more occurrences of short than long words. Add to this fact the further observation that the variety of different words available increases exponentially with their length and the phenomenon Zipf reported becomes inescapable: a few short words will be used an enormous number of times while a vast number of longer words will occur infrequently or not at all.

So Zipf was wrong. His facts were right enough, but not his explanations. In a broader sense he was right, however, for he called attention to a stochastic process that is frequently seen in the social sciences, and by accumulating statistical data that cried out for some better explanation he challenged his colleagues and his successors to explore an important new type of probability distribution. Zipf belongs among those rare but stimulating men whose failures are more profitable than most men's successes.

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Minimal Cost

Mandelbrot vs. Simon Assumptions Model

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And the winner is...?

Nutshell



Bornholdt and Ebel (PRE), 2001: "World Wide Web scaling exponent from Simon's 1955 model" [1].

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Mandelbrot vs. Simon

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And the winner is...?

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Bornholdt and Ebel (PRE), 2001: "World Wide Web scaling exponent from Simon's 1955 model" [1].



Show Simon's model fares well.

The PoCSverse Power-Law Mechanisms, Pt. 4 37 of 49

Optimization

Model Analysis

And the winner is ...?

Nutshell



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Show Simon's model fares well.



 \aleph Recall ρ = probability new flavor appears.

The PoCSverse Power-Law Mechanisms, Pt. 4 37 of 49

Model Analysis And the winner is ...?

Nutshell



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Alta Vista C crawls in approximately 6 month period in 1999 give $\rho \simeq 0.10$

The PoCSverse Power-Law Mechanisms, Pt. 4 37 of 49

Model Analysis

And the winner is...?

Nutshell



Bornholdt and Ebel (PRE), 2001: "World Wide Web scaling exponent from Simon's 1955 model" [1].

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Minimal Cost

Mandelbrot vs. Simon Assumptions

Model
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And the winner is...?

Nutshell



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- Show Simon's model fares well.
- & Recall ρ = probability new flavor appears.
- Alta Vista \Box crawls in approximately 6 month period in 1999 give $\rho \simeq 0.10$
- & Leads to $\gamma = 1 + \frac{1}{1-\rho} \simeq 2.1$ for in-link distribution.
- \ref{alpha} Cite direct measurement of γ at the time: 2.1 ± 0.1 and 2.09 in two studies.

The PoCSverse Power-Law Mechanisms, Pt. 4 37 of 49

Minimal Cost

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And the winner is...?

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Outline

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Mandelbrot vs. Simo

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And the winner is...?

Nutshell



Recent evidence for Zipf's law...

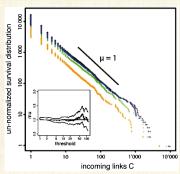


FIG. 1 (color online). (Color Online) Log-log plot of the number of packages in four Debian Linux Distributions with more than C in-directed links. The four Debian Linux Distributions are Woody (19.07.2002) (orange diamonds), Sarge (0.60.62.005) (green crosses). Etch (15.08.2007) (blue circles), Lenny (15.12.2007) (black+'s). The inset shows the maximum likelihood estimate (MLE) of the exponent μ together with two boundaries defining its 95% confidence interval (approximately given by $1\pm 2/\sqrt{n}$, where n is the number of data points using in the MLE), as a function of the lower threshold. The MLE has been modified from the standard Hill estimator to take into account the discreteness of C.

Maillart et al., PRL, 2008: "Empirical Tests of Zipf's Law Mechanism in Open Source Linux Distribution" [7]

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Minimal Cost

Mandelbrot vs. Simon

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And the winner is...?

Nutshell



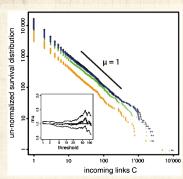


FIG. 1 (color online). (Color Online) Log-log plot of the number of packages in four Debian Linux Distributions with more than C in-directed links. The four Debian Linux Distributions are Woody (19.07.2002) (orange diamonds), Sarge (0.60.62.005) (green crosses). Etch (15.08.2007) (blue circles), Lenny (15.12.2007) (black+'s). The inset shows the maximum likelihood estimate (MLE) of the exponent μ together with two boundaries defining its 95% confidence interval (approximately given by $1 \pm 2/\sqrt{n}$, where n is the number of data points using in the MLE), as a function of the lower threshold. The MLE has been modified from the standard Hill estimator to take into account the discreteness of C.

Maillart et al., PRL, 2008: "Empirical Tests of Zipf's Law Mechanism in Open Source Linux Distribution" [7]

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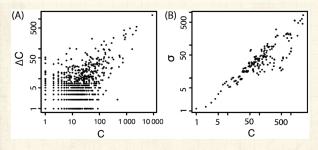


FIG. 2. Left panel: Plots of ΔC versus C from the Etch release (15.08.2007) to the latest Lenny version (05.05.2008) in double logarithmic scale. Only positive values are displayed. The linear regression $\Delta C = R \times C + C_0$ is significant at the 95% confidence level, with a small value $C_0 = 0.3$ at the origin and R = 0.09. Right panel: same as left panel for the standard deviation of ΔC .



Rough, approximately linear relationship between C number of in-links and ΔC .

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Nutshell:



Simonish random 'rich-get-richer' models agree in detail with empirical observations.

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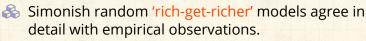
Model

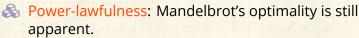
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Nutshell:





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Nutshell:

- Simonish random 'rich-get-richer' models agree in detail with empirical observations.
- Power-lawfulness: Mandelbrot's optimality is still apparent.
- Optimality arises for free in Random Competitive Replication models.

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TENTLANGUAGE

Neural reboot (NR):

Walking with a baby robin:

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